

Administration Guide

Novell[®] XDASv2 for eDirectory, IDM, and NMAS

v1

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About This Guide

This guide describes how to configure and use XDASv2 to audit Novell eDirectory 8.8 and Novell Identity Manager.

- ◆ Chapter 1, “Overview,” on page 9
- ◆ Chapter 2, “Configuring XDASv2,” on page 11
- ◆ Chapter 3, “iManager Plug-In for XDASv2,” on page 13
- ◆ Chapter 4, “Troubleshooting,” on page 19
- ◆ Chapter A, “XDASv2 Events,” on page 21
- ◆ Appendix B, “XDASv2 Schema,” on page 33

Audience

This guide is intended for Administrators.

Feedback

We want to hear your comments and suggestions about this manual and the other documentation included with this product. Please use the User Comments feature at the bottom of each page of the online documentation, or go to www.novell.com/documentation/feedback.html and enter your comments there.

Documentation Updates

For the most recent version of the *XDASv2 Administration Guide*, visit the [eDirectory Web site](http://www.novell.com/documentation/edir88/) (<http://www.novell.com/documentation/edir88/>).

Additional Documentation

For documentation on eDirectory documentation, see the following:

- ◆ *Novell eDirectory 8.8 Administration Guide* (<http://www.novell.com/documentation/edir88/index.html>).
- ◆ *Novell eDirectory 8.8 Installation Guide* (<http://www.novell.com/documentation/edir88/index.html>).
- ◆ *Novell iManager 2.7 Administration Guide* (<http://www.novell.com/documentation/imanager27/index.html>).

The XDASv2 specification provides a standardized classification for audit events. It defines a set of generic events at a global distributed system level. XDASv2 provides a common portable audit record format to facilitate the merging and analysis of audit information from multiple components at the distributed system level. The XDASv2 events are encapsulated within a hierarchical notational system that helps to extend the standard or existing event identifier set. The XDASv2 taxonomy defines a set of fields, of these the primary fields are observer, initiator and target. XDASv2 events helps you easily understand the audit trails of heterogeneous applications

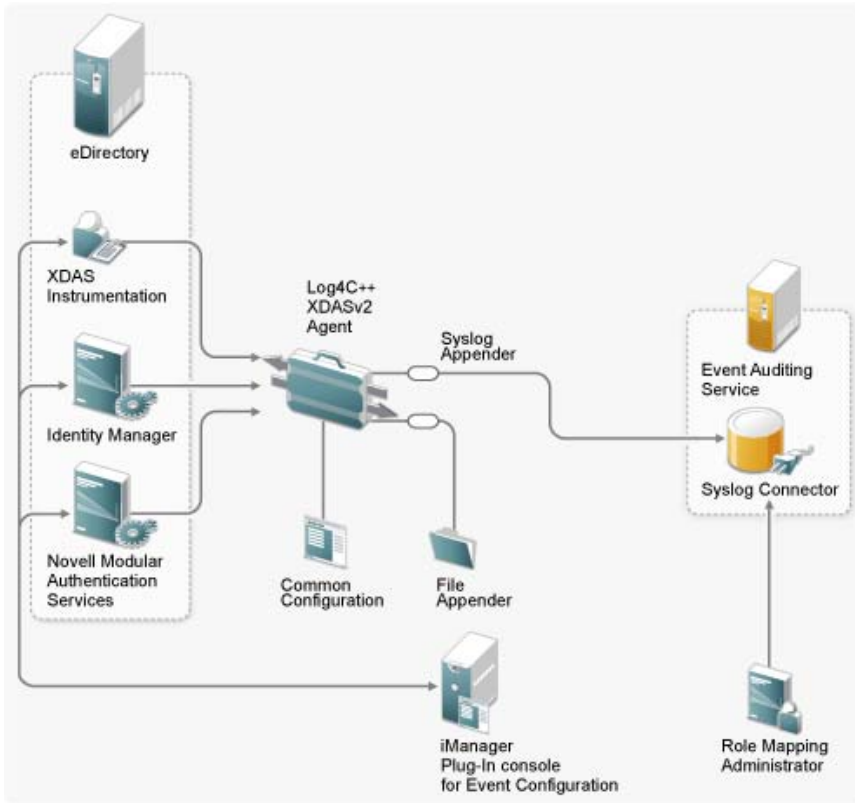
- ♦ [Section 1.1, “Key Benefits,” on page 9](#)
- ♦ [Section 1.2, “XDASv2 Server Architecture,” on page 9](#)

1.1 Key Benefits

- ♦ Provides secured audit services for a distributed system.
- ♦ Defines a set of generic events at a global distributed system level.
- ♦ Defines a common portable audit record format to help merge and analyse the audit information from multiple components of a distributed system.
- ♦ Defines a common format for audit events that analysis applications can use.
- ♦ Records XDASv2 audit trail.
- ♦ Configures event preselection criteria and event disposition actions.
- ♦ Provides a common audit format regardless of the platform on which the XDASv2 service is running.
- ♦ Supports heterogeneous environments without the necessity to reengineer the current operating system or application-specific audit service implementations.
- ♦ Supports adequate separation of duties for users.
- ♦ Protects the audit log by making it accessible only to principals acting in specific administrative or security roles.

1.2 XDASv2 Server Architecture

Figure 1-1 XDASv2 Server Architecture



Configuring XDASv2

2

This chapter contains the following information:

- ♦ [Section 2.1, “Installing eDirectory XDASv2 Files,” on page 11](#)
- ♦ [Section 2.2, “Configuring XDASv2 Property File,” on page 11](#)
- ♦ [Section 2.3, “Configuring XDAS Events,” on page 12](#)
- ♦ [Section 2.4, “Loading the Modules,” on page 12](#)

2.1 Installing eDirectory XDASv2 Files

The following eDirectory XDASv2 files are, by default, installed as part of eDirectory.

- ♦ Linux:
 - ♦ novell-edirectory-xdaslog
 - ♦ novell-edirectory-xdaslog-conf
 - ♦ novell-edirectory-xdasinstrument
- ♦ Solaris:
 - ♦ NOVLlog
 - ♦ NOVLedirxdasin
- ♦ Windows
 - ♦ xdasauditds.dlm
 - ♦ xdaslog.dll

2.2 Configuring XDASv2 Property File

The XDASv2 property file is located at `/etc/opt/novell/configuration/xdasconfig.properties`. You can customize the file according to your requirement.

The following is the content of the XDASv2 property file:

```
# Set the level of the root logger to DEBUG and attach appenders.
#log4j.rootLogger=debug, S, R

# Defines appender S to be a SyslogAppender.
#log4j.appender.S=org.apache.log4j.net.SyslogAppender

# Defines location of Syslog server.
#log4j.appender.S.Host=localhost
#log4j.appender.S.Port=port

# Specify protocol to be used (UDP/TCP/SSL)
#log4j.appender.S.Protocol=UDP

# Specify SSL certificate file for SSL connection.
# File path should be given with double backslash.
#log4j.appender.S.SSLCertFile=/etc/opt/novell/mycert.pem
```

```

# Minimum log-level allowed in syslog.
#log4j.appender.S.Threshold=INFO

# Defines the type of facility.
#log4j.appender.S.Facility=USER

# Layout definition for appender Syslog S.
#log4j.appender.S.layout=org.apache.log4j.PatternLayout
#log4j.appender.S.layout.ConversionPattern=%c : %p%m%n

# Defines appender R to be a Rolling File Appender.
#log4j.appender.R=org.apache.log4j.RollingFileAppender

# Log file for appender R.
#log4j.appender.R.File=/var/opt/novell/eDirectory/log/xdas-events.log

# Max size of log file for appender R.
#log4j.appender.R.MaxFileSize=100MB

# Set the maximum number of backup files to keep for appender R.
# Max can be 13. If set to zero, then there will be no backup files.
#log4j.appender.R.MaxBackupIndex=10

# Layout definition for appender Rolling log file R.
#log4j.appender.R.layout=org.apache.log4j.PatternLayout
#log4j.appender.R.layout.ConversionPattern=%d{MMM dd HH:mm:ss} %c : %p%m%n

```

Table 2-1 XDASv2 Property File

Options	ID	Description
Syslog Appender	S	
Rolling File Appender	R	

2.3 Configuring XDAS Events

See [Chapter 3, “iManager Plug-In for XDASv2,” on page 13](#) for information on configuring XDASv2 events for eDirectory.

2.4 Loading the Modules

After you have configured the XDASv2 events, run the following command to load the XDASv2 modules:

- ◆ **Linux/Solaris:** Run the following command to load the XDASv2 modules:

```
ndstrace -c "load xdasauditds"
```
- ◆ **Windows:** Run `ndscons.exe`, select `xdasauditds` option from the list of available modules, then click *Start*.

If you have installed NMAS and enabled NMAS auditing, the NMAS server automatically loads the XDASv2 library.

iManager Plug-In for XDASv2

3

You can manage and configure eDirectory for XDASv2 auditing by using Novell iManager. Novell iManager is a Web-based application that is used to manage, maintain, and monitor Novell eDirectory through wired and wireless devices. The XDASv2 iManager plug-in is included with the eDirectory installation.

NOTE: iManager plug-in is only for enabling and configuring XDASv2 events for eDirectory. This plug-in does not apply to NMAS and Identity Manager.

- ♦ [Section 3.1, “System Requirements,” on page 13](#)
- ♦ [Section 3.2, “Installing iManager Plug-In for XDASv2,” on page 13](#)
- ♦ [Section 3.3, “Using iManager Plug-In Console for XDASv2,” on page 13](#)
- ♦ [Section 3.4, “Configuring XDASv2 Events for Auditing,” on page 14](#)
- ♦ [Section 3.5, “Securing the iManager Connection,” on page 18](#)

3.1 System Requirements

Installing and using the Novell Audit iManager Plug-in requires iManager 2.7.4. See [Novell iManager Product Page \(http://www.novell.com/products/consoles/\)](#) for requirements and download instructions.

3.2 Installing iManager Plug-In for XDASv2

iManager plug-in for XDASv2 is, by default, installed with eDirectory.

3.3 Using iManager Plug-In Console for XDASv2

1 Log in to the iManager console.

1a Open iManager from a Web browser, using the following URL:

`https://ip_address_or_DNS/nps/iManager.html`

where *ip_address_or_DNS* is the IP address or DNS name of your iManager server.

For example:

`http://192.168.0.5/nps/iManager.html`

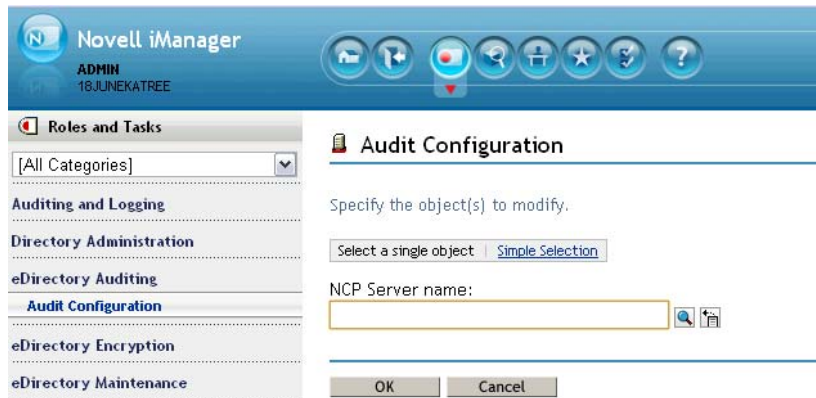
1b Log in using your username and password.

In iManager, you have access only to those roles for which you have assigned rights. To have full access to all Novell iManager features, you must log in as a user with Admin rights to the tree.

For more information, see [Accessing iManager \(http://www.novell.com/documentation/imanager27/imanager_admin_273/data/bsxrjzp.html\)](#)

2 Select *Audit Configuration* from *Roles and Tasks*.

3 Specify the *NCP Server*.



Click the *Object Selector* icon to browse for the NCP server.

4 Click *OK*.

The XDASv2 Audit page is displayed. Continue with [Section 3.4.1, “Configuring Events,” on page 14](#).

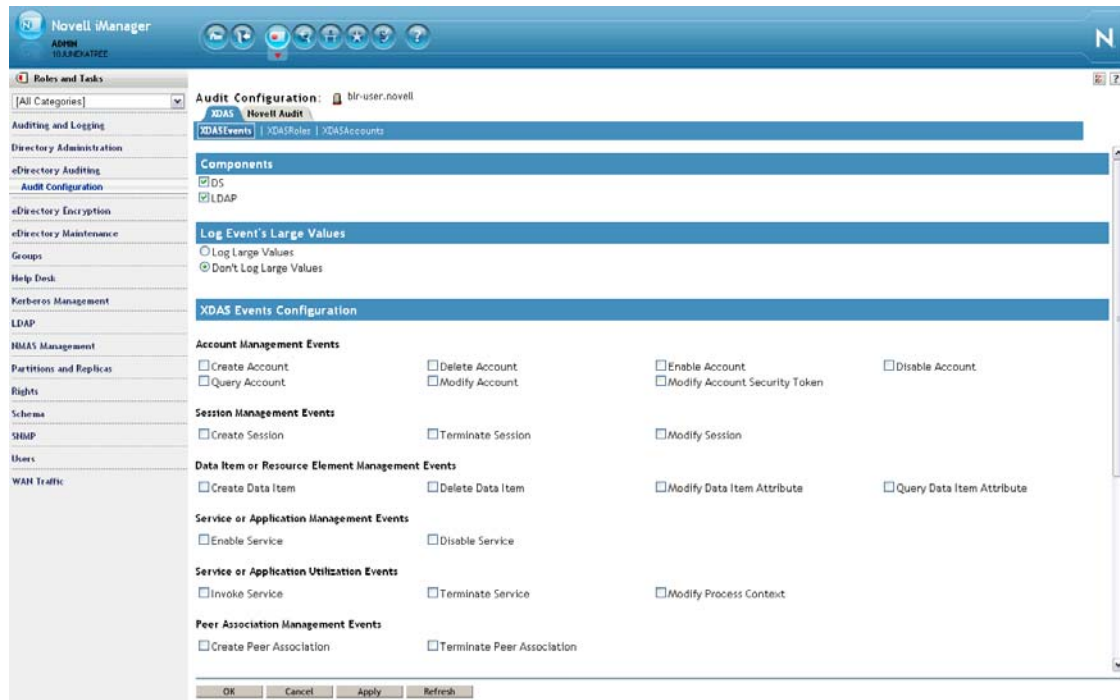
3.4 Configuring XDASv2 Events for Auditing

- ♦ [Section 3.4.1, “Configuring Events,” on page 14](#)
- ♦ [Section 3.4.2, “Configuring XDASv2 Roles,” on page 16](#)
- ♦ [Section 3.4.3, “Configuring XDASv2 Accounts,” on page 17](#)

3.4.1 Configuring Events

Use this page to configure XDASv2 events.

Figure 3-1 XDASv2 Events



- 1 You can select both or either of the following components for XDASv2 event settings:
 - DS:** Specifies an eDirectory™ object. For each DS object, a corresponding LDAP object exists.
 - LDAP:** Specifies an LDAP object.
- 2 Log event values:

The events are logged into a text file. Event values with more than 768 bytes in size are considered as large values. You can log events of any size.

 - Log Large Values:** Select this option to log events that are more than 768 bytes in size.
 - Do Not Log Large Values:** Select this option to log events that are less than 768 Byte in size. If the event size is more, the event value is truncated and saved to the log file.
- 3 Specify the following based on your requirement:

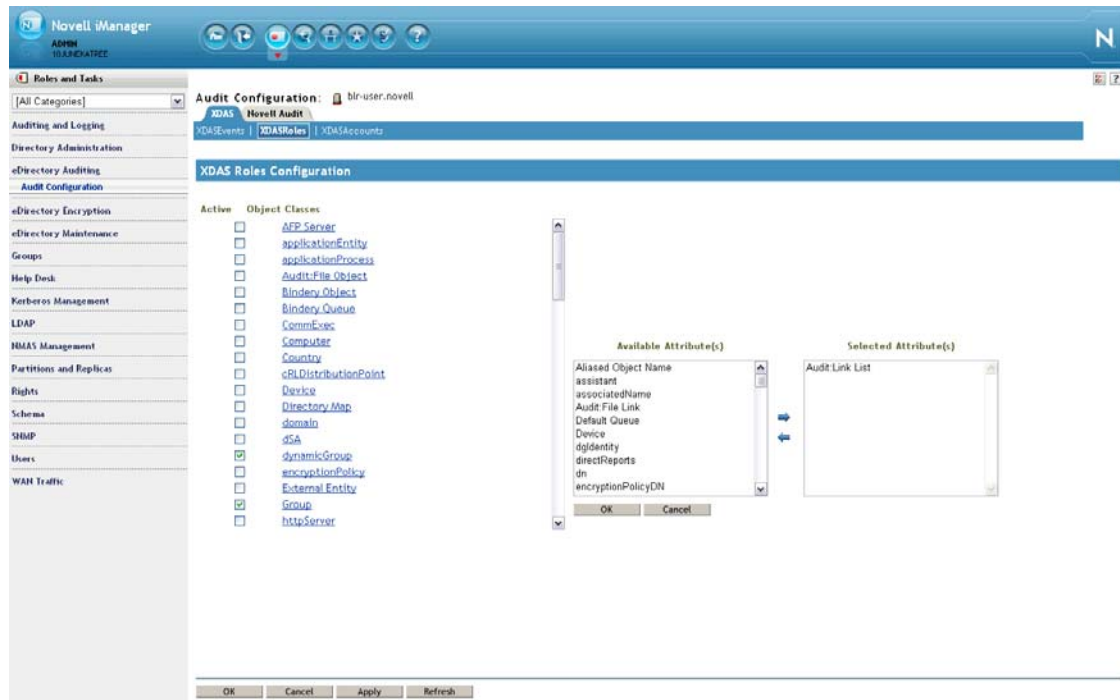
Options	Description
Account Management Events	Select the account management events for which you want to log events. You can log events to create, delete, enable, disable, and query accounts, and also to modify account security token.
Session Management Events	Select the session management events for which you want to log events. You can log events to create, terminate, and modify sessions.
Data Item or Resource Element Management Events	Select the data item or resource element management events for which you want to log events. You can log events to create and delete data items and to modify and query data item attributes.
Service or Application Management Events	Select the service or application management events for which you want to log events. You can log events for enabling and disabling services.
Service or Application Utilization Events	Select the service or application utilization events for which you want to log events. You can log events to start and terminate services, and to modify process contexts.
Peer Association Management Events	Select the peer association events for which you want to log events. You can log events for creating and terminating peer associations.
Data Item or Resource Element Content Access Events	Select the data item or resource element content access events for which you want to log events. You can log events to create, terminate, and modify data item associations.
Role Management Events	Select the role management events for which you want to log events. You can log events to create, delete, query, and modify roles.
Exceptional Management Events	Select the exceptional management events for which you want to log events. You can log events to start and shut down systems and also to back up and recover data stores.
Authentication Management Events	Select the authentication management events for which you want to log events. You can log events to authenticate sessions and create access tokens.
Operational Events	Select the operational management events for which you want to log events. You can log events to generate eDirectory operation IDs.

For more information on events, see [Chapter A, “XDASv2 Events,” on page 21](#).

3.4.2 Configuring XDASv2 Roles

Configure XDASv2 roles for the objects for which you want to collect XDASv2 events. You can select object classes and set attributes for them.

Figure 3-2 XDAsv2 Roles

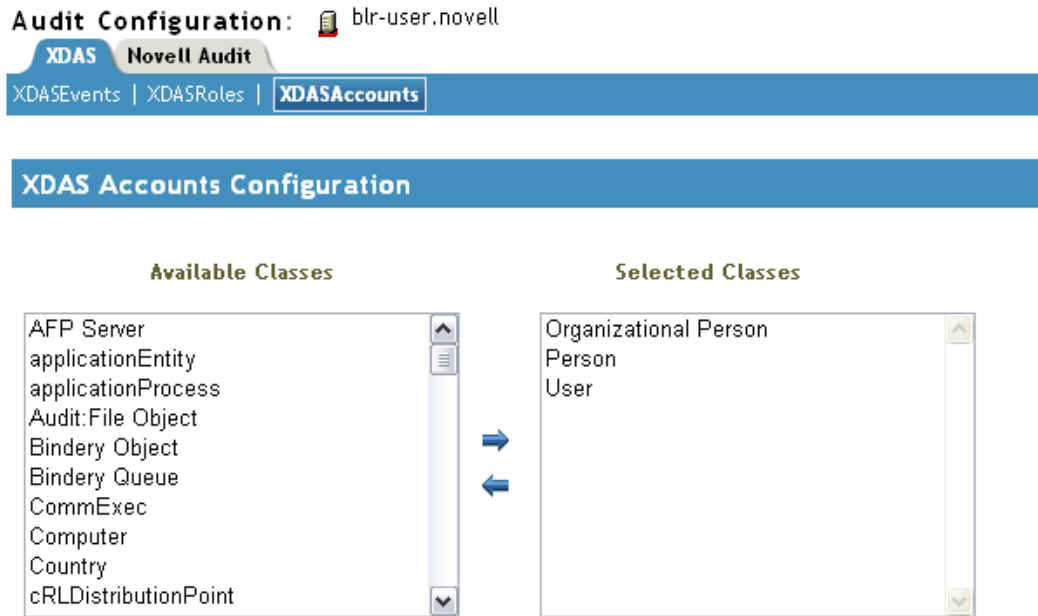


- 1 Select object classes for which you want to collect events.
 - 2 Set any number of attributes for the object classes you have selected. Click the attribute and click the arrow to add the attribute to the selected list of attributes.
 - 3 Click *OK* after you add the attributes. Click *Apply* to confirm the modifications.
- The selected attributes appear in this list.

3.4.3 Configuring XDAsv2 Accounts

Configure XDAsv2 accounts by selecting available object classes. Click *OK* to exit the application.

Figure 3-3 XDA Sv2 Accounts



- 1 Select object classes from the list for which you want to collect events.
- 2 Selected object classes appear in this list.
- 3 Click *Apply* after adding the object classes.

3.5 Securing the iManager Connection

When you log in to iManager, your connection is automatically forwarded to a secure port. The default HTTPS port for iManager is 443.

For more information on running iManager over an SSL connection, see “[Configuring and Using SSL for LDAP Connections](http://www.novell.com/documentation/imanager27)” in the *iManager Administration Guide*. (<http://www.novell.com/documentation/imanager27>)

Troubleshooting

4

Keep in mind the following information when you install Novell XDASv2:

Initializing XDAS module error

Possible Cause: You cannot connect to the server IP or the port number mentioned in `xdasconfig.properties` file when you initialize the XDASv2 module. It displays the following message:

```
log4cxx: Could not instantiate TCP Socket to <IP>. All logging will FAIL.
```

```
log4cxx: IO Exception : status code = 111
```

Action: To work around this issue,

- 1 Check whether the sever IP or the port number given in the `xdasconfig.properties` file is correct.
- 2 Check whether the remote server is reachable and is accepting the connection on the given port.
- 3 Reload the `xdasauditds` module.

The TCP connection is lost

Possible Cause: If the remote server is not reachable or does not accept connection on the given port, the following error is displayed:

```
log4cxx: Detected problem with TCP connection to <IP>. All logging will FAIL.
```

```
log4cxx: IO Exception : status code = 32
```

Action: To work around this issue:

- 1 Check whether remote server is reachable and is accepting the connection on the given port.
- 2 Reload the `xdasauditds` module.

The SSL certificate file issue

Possible Cause: The SSL certificate file is either not valid or not present at the given location in the `xdasconfig.properties` file. The following error is displayed:

```
log4cxx: could not load verify locations for SSL
```

Action: To work around this issue,

- 1 Specify the absolute path to a valid certificate file.
- 2 Reload the `xdasauditds` module.

The network connection to the remote server is lost

Source: The following error is displayed:

```
log4cxx: SSL write failed for <IP>. All logging will FAIL.
```

Action: To work around this issue,

- 1** Check whether remote server is reachable and is accepting the connection on the given port.
- 2** Reload the xdasauditds module.

The SSL connection has failed

Possible Cause: The SSL connection fails because either the TLS/SSL handshake fails or a connection failure occurs. The following error message is displayed:

```
log4cxx: SSL Connect Failed to <IP>
```

Action: To work around this issue,

- 1** Check whether remote server is reachable and is listening on the given port.
- 2** Check whether the certificate is valid.
- 3** Reload the xdasauditds module.

XDASv2 Events



The XDASv2 events are classified into the following categories:

- ◆ Section A.1, “Account Management Events,” on page 21
- ◆ Section A.2, “Session Management Events,” on page 22
- ◆ Section A.3, “Data Item and Resource Element Management Events,” on page 23
- ◆ Section A.4, “Service or Application Management Events,” on page 24
- ◆ Section A.5, “Service or Application Utilization Events,” on page 25
- ◆ Section A.6, “Peer Association Management Events,” on page 25
- ◆ Section A.7, “Data Item or Resource Element Content Access Events,” on page 26
- ◆ Section A.8, “Work Flow Management Events,” on page 27
- ◆ Section A.9, “Role Management Events,” on page 28
- ◆ Section A.10, “Exceptional Events,” on page 28
- ◆ Section A.11, “Audit Service Management Events,” on page 29
- ◆ Section A.12, “Authentication Event,” on page 30

A.1 Account Management Events

An identity is a token used to represent a particular user or entity. Blame or credit for an action goes to the identity for a set of activities within a system. Accounts exist in the application domains to associate attributes with the set of identifiers typically associated with identities. Identities can be a human being or an automated identity, such as another service, which is acting on behalf of a human or a regularly scheduled system activity. In both the cases, account management is considered as persistent account creation, wherein an identity with some limited or unlimited set of system rights is associated with attributes.

NOTE: The `Modify Account Security Token` event could have been defined in terms of `Modify Account`, but modification of account security tokens is considered critical to audit security, and is thus given its own event.

Table A-1 Account Management Event Taxonomy

Event Name	Event Identifier	Corres. eDir Event	Description	Use
Create Account	0.0.0.0	DSE_CREATE_ENTRY	Create a new account	Consider this event as appropriate for any situation wherein an account, as defined above, is to be created.

Event Name	Event Identifier	Corres. eDir Event	Description	Use
Delete Account	0.0.0.1	DSE_DELETE_ENTRY	Delete an existing account	This event has the opposite semantic meaning of account creation. Use this event wherever such an account, as described above, is to be deleted.
Disable Account	0.0.0.2	DSE_LOGIN	Disable an existing account	Consider this event relevant for any situation where a particular record in an identifier database is disabled by an administrator or an automated security process such that it can no longer be used until it is re-enabled
Enable Account	0.0.0.3		Enable an existing account	This is the counterpart event to the disable account event defined above.
Query Account	0.0.0.4	DSE_SEARCH	Query an existing account	Consider the Query account events whenever a request for the attribute information of a particular account is made.
Modify Account	0.0.0.5	DSE_MERGE_ENTRIES	Modify an existing account	Consider the Modify account events whenever a request to change attribute information of a particular account is made.
Modify Account Security Token	0.0.0.6	DSE_CHGPASS	Modify an existing account security token	An account security token may be a password, or any other type of authentication materials associated with a user account. Here, a user account means any type of account by which a user, application, or system service may authenticate, and then act with the rights of that account.
Query Account Security Token				
Delete Account Security Token				

A.2 Session Management Events

A session is the association of an initiator with a stream of communication. A session may represent a user's connection to server, as in the case of logging into a Unix or Windows host, or a set of related transactions in a connection-less environment, as in the case of using a cookie to maintain persistent transactions between a browser client and a Web server.

Table A-2 *Session Management Event Taxonomy*

Event Name	Event Identifier	Corres. eDir Event	Description	Use
Create Session	0.0.1.0		Create a new session	This event should be reported whenever a new session (as defined above) is created.
Terminate Session	0.0.1.1		Terminate an existing session	This event should be reported whenever an existing session (as defined above) is terminated.
Query Session	0.0.1.2		Query user session attributes	This event should be reported whenever attribute information is requested on an existing session.
Modify Session	0.0.1.3	DSE_CHANGE_CONN_STAT E	Modify user session attributes	This event should be reported whenever attribute information is modified on an existing session.

A.3 Data Item and Resource Element Management Events

This set of events relate to the creation and management of data items and resource elements within a domain. The type of data item or resource element is dependent upon the domain. For example, files and directories, device special files, and shared memory segments within an operating system, tables and records within a database, messages within an email system. The term data item is used in this context to refer to any type of resource element.

Table A-3 *Data Item and Resource Element Management Event Taxonomy*

Event Name	Event Identifier	Corresponding eDir Event	Description	Use
Create Data Item	0.0.2.0	DSE_CREATE_ENTRY	Create a data item	This event is reported whenever a security-relevant data item or resource element is created.
Delete Data Item	0.0.2.1	DSE_DELETE_ENTRY	Delete a data item	This event is reported whenever a security-relevant data item or resource element is deleted
Query Data Item Attribute	0.0.2.2	DSE_COMPARE_ATTR_VALUE	Query data item attributes	This event is reported whenever a security-relevant data item or resource element is queried – either for value, or for an attribute of the data item.

Event Name	Event Identifier	Corresponding eDir Event	Description	Use
Modify Data Item Attribute	0.0.2.3	DSE_DEFINE_ATTR_DEF DSE_REMOVE_ATTR_DEF DSE_REMOVE_CLASS_DEF DSE_DEFINE_CLASS_DEF DSE_MODIFY_CLASS_DEF	Modify data item attributes	This event is reported whenever a security-relevant data item or resource element is modified – either the value, or an attribute of the data item

A.4 Service or Application Management Events

This set of events relates to the management of services or applications. For example, the RPM package manager might throw these events as packages are installed or removed from a Linux system. Windows 32 Service Control Manager (SCM) events sent to the Windows 32 System Event Log may be translated into these events as they are imported into OpenXDASv2. This set of events could also be much more domain-specific, including concepts such as installing, removing, or configuring installable executable-modules within a single application domain. The key idea is to ensure that reported events have security significance.

Table A-4 Service or Application Management Event Taxonomy

Event Name	Event Identifier	Corresponding eDir Event	Description	Use
Install Service	0.0.3.0	DSE_CHANGE_MODULE_STATE	Install a service or application	This event is reported when a service or application is installed
Remove Service	0.0.3.1	DSE_CHANGE_MODULE_STATE	Remove a service or application	This event is reported when a service or application is removed.
Query Service Configuration	0.0.3.2		Query the configuration of a service or application	This event is reported when service or application configuration information is requested.
Modify Service Configuration	0.0.3.3		Modify configuration of a service or application	This event is reported when service or application configuration information is modified.
Disable Service	0.0.3.4	DSE_CLOSE_BINDERY	Disable a service or application	This event is reported when a service, operation or function is disabled.

Event Name	Event Identifier	Corresponding eDir Event	Description	Use
Enable Service	0.0.3.5	DSE_OPEN_BINDERY	Enable a service or application	This event is reported when a service, operation or function is enabled.

A.5 Service or Application Utilization Events

This class of events relates to the use of services and applications. They typically map to the execution of a program or a procedure and manipulation of the processing environment.

Table A-5 *Service or Application Utilization Events Taxonomy*

Event Name	Event Identifier	Corresponding eDir Event	Description	Use
Invoke Service	0.0.4.0	DSE_START_UPDATE_SCH EMA	Invoke a service or application	This event is reported when a security-relevant service is invoked.
Terminate Service	0.0.4.1	DSE_END_UPDATE_SCH MA	Terminate a service or application	This event is reported when a service is terminated.
Query Process Context	0.0.4.2		Query a processing context	This event is reported when any attributes of a process context are queried – this event is somewhat specific to operating systems, but some use can be found in other domain-specific applications.
Modify Process Context	0.0.4.3	DSE_SERVER_RENAME DSE_SYNTHETIC_TIME DSE_SERVER_ADDRESS_CHANGE	Modify processing context	This event is reported when any attributes of a process context are modified – this event is somewhat specific to operating systems, but some use can be found in other domain-specific applications.

A.6 Peer Association Management Events

Peer association events are related to the association of a user or identity with a group, or the association of two users in some domain-specific context. For example, adding an LDAP user to a group, or associating two users for a domain-specific purpose in an application's identity association database. These events are also related to the association of identities within disparate authentication domains for purposes of federation.

For example, when an identity in domain A makes a request to a service governed by domain B, then a peer association is required between these domains – often this is called a trust relationship. From an implementation perspective, setting up a trust relationship is often done by establishing an

identity in domain B, which is used as a proxy for any request coming from any identity in domain A. Trust relationships can be much more complex, however, as individual identities in domain A can have individual associations with specific domain B identities.

Table A-6 Peer Association Management Events Taxonomy

Event Name	Event Identifier	Corresponding eDir Event	Description	Use
Create Peer Association	0.0.5.0		Create an association with a peer	This event is reported when a new peer association is created.
Terminate Peer Association	0.0.5.1		Terminate an association with a peer	This event is reported when an existing peer association is destroyed.
Query Association Context	0.0.5.2		Query an association context	This event is reported when the attributes of a peer association are queried.
Modify Association Context	0.0.5.3		Modify an association context	This event is reported when the attributes of a peer association are modified.
Receive Data Via Association	0.0.5.4		Receive data via an association	This event is reported when data is received from a service in an authentication domain specifically via a trust relationship or peer association.
Send Data Via Association	0.0.5.5		Send data via an association	This event is reported when data is sent to a service in an authentication domain specifically via a trust relationship or peer association.

A.7 Data Item or Resource Element Content Access Events

Resource content-access events are related to access of any data files protected by an authentication domain. This could be file system files, database records, Web pages etc. While instrumenting applications, consider securing access to the resources. Resource access can be a high-bandwidth process. Therefore, only security-relevant events should be reported. Such instrumentation should be configurable at the application level by the application administrator, thus must be policy driven. This implies that such applications add additional infrastructure and user interface to allow administrators to manage the resource-access events that has to be audited, and determine the unimportant events within the security context.

Table A-7 Data Item or Resource Element Content Access Events Taxonomy

Event Name	Event Identifier	Corresponding eDir Event	Description	Use
Create Data Item Association	0.0.6.0		Create association with a data item	This event is reported when rights are granted by an identity to a specific data item – when a trust relationship is established between an identity and a data item.
Terminate Data Item Association	0.0.6.1		Terminate association with a data item	This event is reported when rights are revoked from an identity to a specific data item – when a trust relationship is revoked between an identity and a data item.
Query Data Item Association	0.0.6.2		Query context of association with a data item	This event is reported when rights are queried for an identity on a specific data item – when trust relationship attributes are queried for a specific identity and data item.
Modify Data Item Association	0.0.6.3		Modify context of association with data item	This event is reported when rights are modified on the previously established relationship between an identity and specific data item.
Query Data Item Contents	0.0.6.4		Query data item contents	This event is reported when a data item is read on behalf of an identity.
Modify Data Item Contents	0.0.6.5		Modify data item contents	This event is reported when a data item is written on behalf of an identity.

A.8 Work Flow Management Events

Even though work flow events can be classified in terms of data items, work flow has its own category of events within the XDASv2 taxonomy. Work flow events is used whenever a work flow process is instrumented for audit events.

Table A-8 Workflow Management Event Taxonomy

Event Name	Event Identifier	Corresponding eDir Event	Description	Use
Request Work Flow Approval	0.0.7.0		Initiate a workflow approval request	Approval for a work flow item has been requested.
Receive Work Flow Approval	0.0.7.1		Receive a work flow approval notice	Approval for a work flow item has been received by appropriate authority.
Escalate Work Flow Request	0.0.7.2		A work flow item was escalated	A work flow request has been escalated.

Event Name	Event Identifier	Corresponding eDir Event	Description	Use
Send Work Flow Notification	0.0.7.3		A work flow notification was sent	Sent a work flow change notification.

A.9 Role Management Events

Role management event may also be classified in terms of data items, but role management is key to systems that manage identity, so these were also given their own category within the XDASv2 taxonomy.

Table A-9 *Role Management Event Taxonomy*

Event Name	Event Identifier	Corresponding eDir Event	Description	Use
Create Role	0.0.8.0		Create a new role	Creates a new role , or an attempt is made to create a new role.
Delete Role	0.0.8.1		Delete an existing role	An existing role is deleted, or an attempt is made to delete an existing role.
Disable Role	0.0.8.2		Disable an existing role	An existing role is disabled, or an attempt is made to disable an existing role.
Enable Role	0.0.8.3		Enable an existing role	A previously disabled role is re-enabled, or an attempt is made to enable a previously disabled role.
Query Role	0.0.8.4		Query role attributes	Role attributes are queried, or an attempt is made to query role attributes.
Modify Role	0.0.8.5		Modify a role attribute	Role attributes are modified, or an attempt is made to modify role attributes.

A.10 Exceptional Events

Exceptional events are generated very rarely, and are considered important because they are generated. For instance, shutting down an enterprise-critical server is exceptional because it can't happen without someone's permission.

Table A-10 *Exceptional Event Taxonomy*

Event Name	Event Identifier	Corresponding eDir Event	Description	Use
Start System	0.0.9.0		Start a system	This event is reported when a server, system, or mission-critical application starts up.
Shutdown System	0.0.9.1		Shutdown a system	This event is reported when a server, system, or mission critical application shuts down.
Resource Exhaustion	0.0.9.2		Resource exhaustion	This event is reported when a server, system, or mission critical application runs out of some critical resource, like memory or disk space. It is often difficult to report such events because often the critical resource in question is required in order to report the event.
Resource Corruption	0.0.9.3		Resource Corruption	This event is reported when a server, system, or mission critical application detects a resource corruption (memory, disk file, etc).
Resource Unavailable	0.0.9.4		Resource Unavailable	This event is reported when a server, system, or mission critical application becomes unavailable.
Resource Available	0.0.9.5		Resource Available	This event is reported when a server, system, or mission critical application becomes available. This event is usually reported if the resource has been unavailable for a period of time.
Back up Data Store	0.0.9.6		Back up Data Store	This event is reported when a server, system, or mission critical application backs up a critical data store.
Recover Data Store	0.0.9.7		Recover Data Store	This event is reported when a server, system, or mission critical application restores a critical data store.

A.11 Audit Service Management Events

Audit services have traditionally been classified by themselves because auditing represents a lower level activity than the security events which themselves are being audited. By classifying audit events separately, an entire category of endless-loop defects can be avoided. Developers instrumenting applications will probably never need to report these events, as they are generally reported by systems like OpenXDAS itself. However, they are documented here for the sake of completeness.

Table A-11 *Audit Service Management Event Taxonomy*

Event Name	Event Identifier	Corres. eDir Event	Description	Use
Configure Audit Service	0.0.10.0		Configure audit service	Configuration data has been changed for an audit subsystem. OpenXDAS reports this event when a SIGHUP is received, indicating that the xdasd configuration file has been modified and should be re-read.
Audit Data Store Full	0.0.10.1		Audit datastore is full	This event is reported by OpenXDAS when an audit log is full, and can no longer accept additional audit records. Where possible, space is reserved for this event, in case it must be reported.
Audit Data Store Corrupted	0.0.10.2		Audit datastore is corrupted	This event is reported by OpenXDAS when the data store reports that an audit log has been corrupted. Generally, this condition is not detected unless a request is made to read an audit stream, and the audit log reports that it cannot be read due to corruption.

A.12 Authentication Event

XDASv1 specified authentication as a modification of session attributes. XDASv2 makes authentication a first class event because authentication is critical to an audit.

Table A-12 *Authentication Events Taxonomy*

Event Names	Event Identifier	eDirectory Events	Description	Use
Authenticate Session	0.0.11.0		A new identity is associated with a session	When a user authenticates a session, a new identity is associated with that session. This identity is then used to authorize requests for protected resources.
Unauthenticate Session	0.0.11.1		A user has actively disassociated his identity from an existing authenticate session.	When a user clicks the "Logout" button on his or her web browser, the previously authenticated identity is removed from an existing authenticated session.
Federate Identity	0.0.11.2		A remote identity is associated with a local identity.	An identity relationship is established between a user at XYZ.COM and the local identity provider.

Event Names	Event Identifier	eDirectory Events	Description	Use
Unfederate Identity	0.0.11.3		A remote identity is disassociated from a local identity.	An existing identity relationship between a user at an external identity provider and the local identity provier is removed.
Create Access Token	0.0.11.4		A SAMLv2, WS-*, OAuth, or other access token was provided upon request.	A resource access token was created by a service (or identity) provider to send to a service consumer. Access is limited by time frame, specifically requested resources, or other limiting criteria, in terms of a contract specified by previously agreed upon name/value pairs in the token. The act of creating and sending an access token is the start of a new pseudo-identity with limited and specific rights to protected resources. This pseudo-identity can be used as a correlation identifier between this and future authorization events. The actually identity of the system user behind the access token may or may not be hidden from the consumer.
Destroy Access Token	0.0.11.5		An existing SAMLv2, WS-*, OAuth, or other access token was destroyed or decommissioned.	A previously created access token was decommissioned such that it is no longer allowed to be used for access to protected resources. Future requests for access to protected resources, based on this access token should be denied.

XDASv2 Schema

B

- ♦ [Section B.1, “XDAS V2 JSON Schema,” on page 33](#)
- ♦ [Section B.2, “XDAS Field Definitions,” on page 36](#)
- ♦ [Section B.3, “Outcome Codes,” on page 39](#)
- ♦ [Section B.4, “Example of an Event,” on page 39](#)

The XDAS schema is defined as follows:

B.1 XDAS V2 JSON Schema

```
{
  "id": "XDASv2",
  "title": "XDAS Version 2 JSON Schema",
  "description": "A JSON representation of an XDASv2 event record.",
  "type": "object",
  "properties": {
    "Source": {
      "description": "The original source of the event, if applicable.",
      "type": "string",
      "optional": true
    },
    "Observer": {
      "description": "The recorder (ie., the XDASv2 service) of the event.",
      "type": "object",
      "optional": false,
      "properties": {
        "Account": { "$ref": "account" },
        "Entity": { "$ref": "entity" }
      }
    },
    "Initiator": {
      "description": "The authenticated entity or access token that causes an event.",
      "type": "object",
      "optional": false,
      "properties": {
        "Account": { "$ref": "account", "optional": true },
        "Entity": { "$ref": "entity" },
        "Assertions": {
          "description": "Attribute/value assertions about an identity.",
          "type": "object",
          "optional": true
        }
      }
    },
    "Target": {
      "description": "The target object, account, data item, etc of the event.",
      "type": "object",
      "optional": true,
      "properties": {
        "Account": { "$ref": "account" },
```

```

    "Entity":{"$ref":"entity"},
    "Data":{
      "description":"A set attribute/value pairs describing the target
object.",
      *
      "type":"object",
      "optional":true
    }
  },
  "Action":{
    "description":"The action describes the event in a uniform manner.",
    "type":"object",
    "optional":false,
    "properties":{
      "Event":{
        "description":"The event identifier in standard XDASv2 taxonomy.",
        "type":"object",
        "optional":false,
        "properties":{
          "Id":{
            "description":"The XDASv2 taxonomy event identifier.",
            "type":"string",
            "optional":false,
            "pattern":"/^[0-9]+(\\.[0-9]+)*$/\"
          },
          "Name":{
            "description":"A short descriptive name for the specific
event.", eg. a new replica is added
            "type":"string",
            "optional":true
          },
          "CorrelationID":{
            "description":"Correlation ID, source#uniqueID#connID",
            "type":"string",
            "optional":true
          }
        }
      },
      "SubEvent":{
        "type":object
        "description": "Describes the actual domain specific event that has
occured.",
        "optional":true,
        "properties":{
          "Name":{
            "description":"A short descriptive name for this event.",
            "type":"string",
            "optional":true
          },
          }
        }
      }
    }
  },
  "Log":{
    "description":"Client-specified logging attributes.",
    "optional":true,
    "properties":{
      "Severity":{"type":"integer", "optional":true},
      "Priority":{"type":"integer", "optional":true},
      "Facility":{"type":"integer", "optional":true}
    }
  }
}

```

```

    }
    "Outcome":{
      "description":"The XDASv2 taxonomy outcome identifier.",
      "type":"string",
      "optional":false,
      "pattern":"/^[0-9]+(\\.[0-9]+)*$/ "
    }
  }
  "Time":{
    "description":"The time the event occurred.",
    "type":"object",
    "optional":false,
    "properties":{
      "Offset":{
        "description":"Seconds since Jan 1, 1970.",
        "type":"integer"
      },
      "Sequence":{
        "description":"Milliseconds since last integral second.",
        "type":"integer",
        "optional":true
      },
      "Tolerance":{
        "description":"A tolerance value in milliseconds.",
        "type":"integer",
        "optional":true
      },
      "Certainty":{
        "description":"Percentage certainty of tolerance.",
        "type":"integer",
        "optional":true,
        "minimum":0,
        "maximum":100,
        "default":100,
      },
      "Source":{
        "description":"The time source (eg., ntp://time.nist.gov).",
        "type":"string",
        "optional":true
      },
      "Zone":{
        "description":"A valid timezone symbol (eg., MST/MDT).",
        "type":"string",
        "optional":true
      }
    }
  }
  "ExtendedOutcome":{
    "description":"The XDASv2 taxonomy outcome identifier.",
    "type":"string",
    "optional":false,
    "pattern":"/^[0-9]+(\\.[0-9]+)*$/ "
  }
}
},
{
  "id":"account",
  "description":"A representation of an XDAS account.",
  "type":"object",

```

```

    "properties":{
      "Domain":{
        "description":"A (URL) reference to the authority managing this
account.", /* lets take it as the partition?
        "type":"string"
      },
      "Name":{
        "description":"A human-readable account name.", - DN
        "type":"string",
        "optional":true
      },
      "Id":{
        "description":"A machine-readable unique account identifier value.", -
EntryID
        "type":"integer"
      }
    }
  },
  {
    "id":"entity", - Server details for Target, client
address details for the initiator
    "description":"A representation of an addressable entity.",
    "type":"object",
    "properties":{
      "SysAddr":{"type":"string","optional":true},
      "SysName":{"type":"string","optional":true},
      "SvcName":{"type":"string","optional":true},
      "SvcComp":{"type":"string","optional":true},
    }
  }
}

```

B.2 XDAS Field Definitions

These fields in the schema are the XDASv2 fields defined specifically for audit events. Some or all of these fields may also be relevant to other types of event, but information of this sort is required for auditing services. The XDASv2 JSON record format is open. By that, we mean that any additional fields may be added to the record at any place, as long as they don't conflict with the field values defined for audit by the XDASv2 standard.

Thus, if there is a particular type of correlation data, such as a workflow identifier, or a session identifier that can be used as correlation data points between events within a particular workflow or client session, you may add these fields. Simply choose a non-conflicting name for your field.

Table B-1 XDAS Field Definitions

XDAS Field	Description
Source (Optional)	The source of an event identifies the event service of another system from which this event was originally defined and converted to an XDAS event. Since many events are generated directly by XDAS clients, the source field is optional.

XDAS Field	Description
Initiator	<p>The initiator of an event is the authenticated entity that initially provoked creation of the event. Note that an initiator need not be identified. If the entity can't be identified - perhaps an entity is attempting to login, thus provoking the generation of a login event by an observer - then as much information about the origin of the event as possible should be specified. NOTE: In the special case of a login event, the authenticated identity of the initiator is not yet known until after the login attempt has succeeded. Therefore a failed login event should not give the identity of the target account as the identity of the initiator.</p> <p>An initiator is described in terms of an account and an entity (described below), as well as an optional set of assertions. These assertions describe, in terms of a set of name/value pairs, the attributes of the initiator identity. Some initiators are not known by a specific account, but are known only by a set of assertions (SAML2, for instance) that describe the rights of the actor. The schema is not defined for these assertions, as they will be different for each class and potentially for each individual object.</p>
Action	<p>The action identifies the event that is being recorded. This field provides the XDASv2 event identifier, as well as an outcome code (success, or failure class), and the time the event occurred, with as much accuracy as possible.</p>
Event	<p>The event field is the key to XDAS events. Event encapsulates a taxonomical identifier and a short descriptive name for human readability.</p>
Id	<p>The event Id code represents the event identifier, defined by the XDASv2 standard event taxonomy, and extensions defined by the Novell CSS product.</p>
Name	<p>The event name is a human readable representation of the event identifier. The event name is optional, but recommended for readability.</p>
Data	<p>The event data provides additional descriptive information about the event.</p>
Log	<p>The log field contains standard syslog-like log-level values, in terms of Severity and Facility numeric identifiers. The log field is optional, as well as every sub-field within the log field. These values should only be used when necessary, as they generally represent judgment calls on the part of the instrumentor. Such judgment calls are best left to analysis software or engineers once the event data is collected.</p>
Outcome	<p>For details on outcome codes, see Section B.3, "Outcome Codes," on page 39.</p>
Time	<p>The event time is the time recorded by the observer at the point the event was committed to the event service. Time values are gathered by the XDAS client helper library. Thus, there is no reason to be concerned about values stored in this field, as the helper library will attempt to be as accurate as possible when generating time information.</p>
Offset	<p>The offset field contains a value representing the number of seconds since midnight, January 1, 1970 - otherwise known as the Unix epoch.</p>
Sequence	<p>The sequence field contains a unique numeric value identifying this event from another event which may have been recorded within the same second. For the most part, this value should be taken as a monotonically increasing numeric value that begins at zero and continues until the next second boundary, at which point, it begins again at zero.</p>

XDAS Field	Description
Tolerance	The tolerance value is a value between 0 and 100, indicating the tolerance of the clock used to record the time in offset. Values of zero indicate the clock is very accurate. Values of 100 indicate that the clock should not be trusted.
Certainty	The certainty value is a value between 0 and 100, indicating the percentage certainty of the tolerance value. Zero means there is no certainty of the tolerance, and thus, it shouldn't be trusted to any degree of accuracy. A value of 100 indicates that the tolerance value is very accurate.
Source	The time source is information indicating the source of time for the observer system. This may be a URL for a time server, or simply a local time source, such as a hardware clock.
Zone	The time zone is the new time zone string representing the time zone of this clock.
Target (Optional)	The target of an event is the account or protected resource upon which the initiator is attempting to act, thereby provoking the generation of an event. A target is described in terms of an account and an entity (described below), as well as an optional and unspecified Data object. The Data object is a set of name/value pairs describing class-specific attributes of the actor. The schema does not define the actual fields, as different classes will have a unique set of data attributes (if any).
Observer	The observer of an event is the authenticated identity of an entity (service) that is monitoring the system, and generating events based on initiator actions. An observer is described in terms of an account and an entity (described below).
Referenced Classes	The observer, initiator, and target fields contain references to the account and entity classes defined separately within the schema. These other classes identify key attributes of the three primary actors within an audit event.
Account Class	The account class represents the identity of the actor. This identity is relative to an authentication realm or Domain. Both an account name and an account Id are provided, although only the Id is really required. The Name is for human readability.
Account Domain	The account Domain defines the authentication authority of the actor. Account identifiers mean very little without an authentication authority.
Account Name	The account Name is optional, providing human readability.
Account Id	The account Id is a unique identifier of the account within the authentication Domain.
Entity Class	The entity class describes the location of the actor. This location is defined in terms of a system access end point (IP network) address and a system access end point (host/domain) name. Additional fields are also available to describe the service and component names within the software that manages the above end points.
Entity SysAddr	An IP address describing the access end point of the software actor.
Entity SysName	A host/domain name describing the access end point of the software actor.
Entity SvcName	A service name further describing the service that manages the above end point.
Entity SvcComp	A service component name describing the component within the above service.

B.3 Outcome Codes

The outcome code is a hierarchical numeric value much like the event code. Outcome codes indicate success or a failure class and reason. The success hierarchy is encapsulated by the 0.x sub-arc. Failure classes are represented by the 1.x hierarchy. Denial codes are represented by the 2.x hierarchy.

B.4 Example of an Event

An example event is given below:

```
{"Source" : "eDirectory#DS",
  "Observer" : {"Account" : {"Domain" : "TREE_NAME", "Name" :
    "CN=server1,O=novell"}},
  "Entity" : {"SysAddr" : "164.99.90.129", "SysName" : "blr-edir-phoenix"}},

  "Initiator" : {"Account" : {"Domain" : "TREE_NAME", "Name" :
    "CN=server1,O=novell"}}, "Assertions" : {"NetAddress" :
    "164.99.90.129"}}, "Target" : {"Data" : {"Name" :
    "CN=server1,O=novell", "newFlags" : "262178", "oldFlags" : "35"}}, "Action" :
    {"Event" : {"Id" : "0.0.1.3", "Name" : "MODIFY_SESSION", "CorrelationID" :
    "eDirectory#-1#", "SubEvent" : "DSE_CHANGE_CONN_STATE"}, "Time" : {"Offset" :
    1286430957}, "Log" : {"Severity" : 7}, "Outcome" : "0", "ExtendedOutcome" : "0"}}
```

