# Novell Identity Manager Fan-Out Driver

3.5.1

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PLATFORM SERVICES ADMINISTRATION GUIDE FOR Z/OS\*





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# **About This Guide**

This guide provides you with the information you need to install, configure, administer, and troubleshoot Platform Services for IBM\* z/OS as part of the Novell<sup>®</sup> Identity Manager 3.5.1 Fan-Out driver.

This guide includes the following sections:

- Chapter 1, "Installing Platform Services," on page 9
- Chapter 2, "Configuring and Administering Platform Services," on page 29
- Chapter 3, "Troubleshooting Platform Services," on page 35
- Appendix A, "Password Migration Component," on page 39

#### Audience

This guide is for system administrators and others who plan, install, configure, and use the Identity Manager Fan-Out driver. It assumes you are familiar with Identity Manager, Novell eDirectory<sup>™</sup>, and the administration of systems and platforms you connect to Identity Manager.

It also assumes you have read the *Platform Services Planning Guide and Reference* and have completed the planning phase it describes.

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When a single pathname can be written with a backslash for some platforms or a forward slash for other platforms, the pathname is presented with a backslash. Users of platforms that require a forward slash, such as Linux\* or UNIX\*, should use forward slashes as required by your software.

# **Installing Platform Services**

The installation and setup of Novell<sup>®</sup> Identity Manager Fan-Out driver Platform Services includes tasks performed on the platform and the core driver. This section describes the installation tasks that are performed on the platform system. For details about platform configuration and administration tasks, see Chapter 2, "Configuring and Administering Platform Services," on page 29.

The core driver tasks include defining UID/GID Sets, defining Platform Sets, and defining Platform objects. These tasks must be completed before you can use Platform Services. For more information about these tasks, see the *Core Driver Administration Guide*.

After the planning process has been completed, installation of Platform Services for z/OS by experienced system programmers familiar with the local environment and the Identity Manager Fan-Out driver should take about a day to complete. An IPL is required.

Topics in this section include

- Section 1.1, "About Platform Services for z/OS," on page 9
- Section 1.2, "Platform Services Installation Procedure," on page 13
- Section 1.3, "Obtaining Platform Services for z/OS Files," on page 17
- Section 1.4, "The ASCTEST Command," on page 18
- Section 1.5, "RACF Exit Installation," on page 19
- Section 1.6, "CA-ACF2 Exit Installation," on page 21
- Section 1.7, "CA-Top Secret Exit Installation," on page 25

## 1.1 About Platform Services for z/OS

Platform Services for z/OS consists of three major components.

- Platform Services Process: The Platform Services Process, known in z/OS as ASCLIENT, receives requests from other z/OS address spaces and manages communications with one or more core drivers for Authentication Services.
- System Intercept: The System Intercept is implemented in z/OS as security system exits. These exits communicate with ASCLIENT for password verification and password changes.
- **Platform Receiver:** The Platform Receiver, known in z/OS as PLATRCVR, requests provisioning events from Event Journal Services and runs a Receiver script to carry out the appropriate action for each event as it is received.

### 1.1.1 The z/OS Platform Services Samples Library

The z/OS Platform Services Samples Library (SAMPLIB) contains sample JCL and configuration members that are useful for installing and running Platform Services. Comments in the individual members describe their function and how they should be customized for your installation. Member \$CONTENT describes the contents.

For information about setting up your z/OS Platform Services Samples Library, see Section 1.3, "Obtaining Platform Services for z/OS Files," on page 17.

### 1.1.2 The z/OS Platform Services Load Library

The z/OS Platform Services Load Library contains the executable programs and related files for Platform Services.

z/OS Platform Services uses several z/OS services that require APF authorization and manages a small amount of storage in z/OS ECSA that requires a system key. Therefore, the Platform Services Load Library must be APF-authorized. We recommend that you do not add this library to your linklist. The load library can be cataloged in any catalog in the normal z/OS catalog search order.

For information about setting up your z/OS Platform Services Load Library, see Section 1.3, "Obtaining Platform Services for z/OS Files," on page 17.

### 1.1.3 The Platform Services Process

The Platform Services Process for z/OS provides an interface between the native security system on an z/OS system and one or more core drivers for Authentication Services. This interface is transparent to end users and applications. The only difference visible to users is that passwords are consistent across z/OS, NetWare<sup>®</sup>, and other systems that use Identity Manager Fan-Out driver Platform Services.

The Platform Services Process is called whenever a user attempts to enter the system using a user ID and password, or when a user attempts to change the password. Such a request is redirected from the security system (RACF\*, CA-ACF2\*, or CA-Top Secret\*) through an installation exit to the Platform Services Process, which then communicates with a core driver and returns a response.

If no core driver can be reached, or if the Platform Services Process is not running, the user's password is verified locally against the native security system database. In this case, password changes are disallowed, because the core driver cannot be instructed to change the user's password in eDirectory<sup>™</sup>. To ensure that the user can log on with the existing (perhaps expired) password, the password's expiration date is extended temporarily and is reset to its true value from eDirectory during a later authentication when a core driver can be reached.

If a password check or change is successful, the contents of the native security system database are updated to reflect the validated or new password. This allows the user to log on using the last password that worked on a z/OS system if the driver, eDirectory, or the network is not available.

The z/OS Platform Services Process is implemented as a started task. This started task, usually named ASCLIENT, performs the following tasks:

- Handles all password check and password change requests from users logging on to the z/OS system
- Communicates with the core drivers for Authentication Services
- Redirects requests to other core drivers if a core driver is unreachable or returns an unexpected error
- Provides the AS Client API for the z/OS system
- Gathers and logs performance statistics

ASCLIENT communicates with core drivers using DES encryption.

Member ASCLIENT of the SAMPLIB data set contains sample JCL for the Platform Services Process. Copy this member to SYS1.PROCLIB or its equivalent, and customize it to match your z/ OS Platform Load Library and z/OS Platform Configuration Member library data set names. Start ASCLIENT during z/OS startup and stop ASCLIENT during z/OS shutdown. Because Platform Services uses the native security system to authenticate users if ASCLIENT is unavailable, ASCLIENT can be shut down and restarted if necessary without disrupting normal authentication. Password changes are disabled while ASCLIENT is not active.

ASCLIENT reads its configuration information from a PDS allocated to ddname ASCPARMS. ASCLIENT can update its configuration without a restart. For further information, see "ASCLIENT Operation" on page 30 and the *Platform Services Planning and Reference Guide*.

ASCLIENT logs transactions and other messages to ddname ASCLOG. If ddname ASCLOG is not defined in the ASCLIENT started procedure JCL, ASCLIENT dynamically allocates ASCLOG as SYSOUT=\*, which uses the default MSGCLASS for started tasks. If ddname ASCLOG exists in the ASCLIENT procedure, ASCLIENT uses the existing DD statement. If ASCLOG is dynamically allocated, you can use the LOGSWITCH command to close the log file and start a new one. For details about the LOGSWITCH command, see "ASCLIENT Operation" on page 30.

If the Platform Services Process is installed and started but the security system exits are not installed, ASCLIENT is not called for user logons and other authentications, but can be tested using ASCTEST to ensure that it is configured properly. For details about using ASCTEST, see Section 1.4, "The ASCTEST Command," on page 18.

### 1.1.4 The z/OS System Intercept

The System Intercept is implemented in z/OS as RACF, CA-ACF2, or CA-Top Secret exits. These exits communicate with ASCLIENT for password verification and password changes.

## 1.1.5 The z/OS Platform Receiver

The z/OS Platform Receiver is implemented as a started task that runs under the TSO terminal monitor program. It calls REXX execs that add, modify, or delete users or groups. If configured to do so, the z/OS Platform Receiver replicates password change information from eDirectory into the local security system. For details about password replication for z/OS, see Section 2.3, "Password Replication for z/OS," on page 30.

Member PLATRCVR of the SAMPLIB data set contains sample JCL for the Platform Receiver. Copy this member to SYS1.PROCLIB or its equivalent, and customize it to match your z/OS Platform Load Library and Receiver script data set names.

The Platform Receiver communicates with Event Journal Services using Secure Sockets Layer (SSL).

Start and stop PLATRCVR on a schedule that is appropriate for your requirements. For details about Platform Receiver operation, see the *Platform Services Planning Guide and Reference*.

By default, PLATRCVR reads its configuration information from the sequential file allocated to ddname ASAMCONF. You can use a JCL EXEC statement PARM to specify another source.

PLATRCVR processes events and logs the event status to the core driver. Log entries can be viewed using the Web interface. Receiver script messages issued by the REXX SAY verb appear in ddname SYSTSPRT of the PLATRCVR started procedure. If external programs are called by Platform Receiver scripts, their output appears under the ddname or file name that they normally write to.

### 1.1.6 z/OS Scripts and Executables

Receiver and helper scripts for the z/OS Platform are implemented using REXX execs. The Platform Services installation process stores the base scripts in the library that you specify. PLATRCVR accesses these scripts through ddname SYSPROC.

The base scripts contain detailed descriptions about their operations. The scripts provided are fully functional, although they require at least minimal customization to work in your environment.

For more information about Receiver scripts, see the *Platform Services Planning Guide and Reference* and the scripts themselves.

Script Name	Function
AMADDGRP	Add a new group
AMADDUSR	Add a new user
AMCONUSR	Connect a user to a group
AMDELGRP	Delete a group
AMDELUSR	Delete a user
AMMODGRP	Modify an existing group
AMMODUSR	Modify an existing user
AMPNDGRP	Process a Delete Pending event received for a group
AMPNDUSR	Process a Delete Pending event received for a user
AMRMVUSR	Remove a user from a group

 Table 1-1
 Receiver Scripts

Table 1-2Helper Scripts

Script Name	Function
AMLGUSRS	Return the list of users connected to a group
AMLUGRPS	Return the list of groups that a user is a member of
AMQCONN	Determine if a user is connected to a group
AMQGROUP	Determine if a group exists
AMQUSER	Determine if a user exists
AMXCLASS	Report an unknown or unsupported Platform Services object class
AMXEVENT	Report an unknown or unsupported Platform Services event type

The z/OS script library also contains execs that you might find useful during installation and while you are developing your extensions to the base scripts.

#### Table 1-3 Useful Executables

Exec Name	Function
MAKELDIF	Extract information from RACF and produce an LDIF file suitable for loading into eDirectory
SAYVLIST	REXX fragment that you can include in your execs to print out the current event variables during script development
SETCERT	REXX exec to invoke the Platform Receiver interactively to obtain the platform certificate from the core driver during installation

## **1.2 Platform Services Installation Procedure**

The general steps for installing Platform Services for z/OS are

- 1. Create the Platform object for your z/OS platform. For details, see the *Core Driver Administration Guide*.
- 2. Obtain and set up the distribution files.
- 3. Install the Platform Services Process.
- 4. Install and configure the System Intercept.
- 5. Install the Platform Receiver.
- 6. Customize the Receiver scripts.
- 7. Integrate Platform Services into your routine operation.

#### 1.2.1 Obtaining and Setting Up the Distribution Files

**1** Obtain the Platform Services for z/OS distribution files.

For details, see Section 1.3, "Obtaining Platform Services for z/OS Files," on page 17.

**2** Add the Platform Services Load Library to the APF list for your system.

Use SYS1.PARMLIB member IEAAPF00 or PROG00 as appropriate. If you are using the dynamic APF facility, you can add the Platform Services Load Library to the active APF list immediately and use the console SET PROG command to activate your changes. Otherwise, you must IPL your z/OS system to make the load library APF-authorized. The Platform Services Load Library can be cataloged in any catalog in the normal search order. We recommend that you do not add this library to your linklist.

- **3** Add ASCTEST as an APF-authorized TSO command.
  - **3a** Add ASCTEST to the AUTHCMD NAMES(...) statement in member IKJTSOxx of SYS1.PARMLIB or its equivalent.

```
Example:
AUTHCMD NAMES( +
...other commands... +
ASCTEST)
```

For more information about IKJTSO *xx*, see the IBM *Initialization and Tuning Reference* for your system.

**3b** Use the TSO PARMLIB command to activate your changes.

Example: PARMLIB CHECK(00) PARMLIB UPDATE(00)

For more information about the PARMLIB command, see the IBM *TSO/E System Programming Command Reference* for your system.

### 1.2.2 Installing the Platform Services Process

**1** Add the JCL procedure for ASCLIENT to your started task procedure library (SYS1.PROCLIB or its equivalent).

Use member ASCLIENT in SAMPLIB as a model, and customize it to use your own data set names.

- **2** Verify that the ASCLIENT user ID is defined as a UNIX user. For more information, see the IBM *UNIX System Services Planning* book for your system.
- **3** Set up the configuration member for ASCLIENT.

The ASCLIENT procedure contains ddname ASCPARMS, which must point to an LRECL=80 RECFM=FB PDS. Configuration members in ASCPARMS are named ASCPRM *xx*, where *xx* defaults to 00. Use SAMPLIB member ASCPRMXX as a model. For details about platform configuration, see the *Platform Services Planning Guide and Reference*.

**4** Assign a DES encryption key for the platform.

Use the KEY statement in the configuration member to set the key for ASCLIENT. For details about the KEY statement, see the *Platform Services Planning Guide and Reference*.

Use the Web interface to set the same key in the Platform object for the platform. For details about setting Platform object attributes, see the *Core Driver Administration Guide*.

For details about managing DES encryption keys, see Section 2.2, "DES Key Management," on page 29.

5 Give ASCLIENT performance characteristics appropriate for its role in user logon.

Review your Workload Manager definitions to ensure that ASCLIENT is assigned to SYSSTC or a similar Service Class.

6 Start the Platform Services Process.

At this point, ASCLIENT is running and can accept requests, but no security system exits are in place to call it yet.

7 Perform preliminary testing using ASCTEST.

You can use ASCTEST under TSO, or you can use the JCL in SAMPLIB member ASCTEST to send Authentication Services requests through ASCLIENT to a core driver. For information about using ASCTEST, see Section 1.4, "The ASCTEST Command," on page 18.

**NOTE:** The core driver treats these requests as real authentications and acts accordingly. For example, a series of consecutive invalid passwords against one user ID can cause intruder detection to be tripped for that user. If you set up test cases in eDirectory, keep in mind that if your replicas exist on multiple servers, it can take a few minutes for a change (resetting a password, disabling a user, etc.) to be communicated to the other replicas. This can cause such updates to appear to be delayed.

8 Establish Include/Exclude lists for testing Authentication Services.

Before installing the exits and IPLing with them for the first time, you might want to establish Include/Exclude lists in ASCPRM *xx* to restrict the users that ASCLIENT handles authentications for. User IDs in the Exclude list are processed by the native security system without calling the driver.

For details about using the Include/Exclude lists, see the *Platform Services Planning Guide and Reference*.

### 1.2.3 Installing and Configuring the System Intercept

**1** Install the security system exits and update security system options.

For details, see Section 1.5, "RACF Exit Installation," on page 19, Section 1.6, "CA-ACF2 Exit Installation," on page 21, or Section 1.7, "CA-Top Secret Exit Installation," on page 25 as appropriate.

**2** IPL with the CLPA option.

After the security system exits are installed, you need to IPL the system that they were installed on. Authentication requests that include a password (for users that are not excluded) cause message ASC0071I Userid *userid* will be authenticated locally to be issued if ASCLIENT is not running.

When ASCLIENT is started, requests that it processes are logged to ddname ASCLOG. (Requests for excluded users do not appear in ASCLOG.) You can review these with any spool-viewing product (IOF\*, SDSF, or equivalent). Note, however, that the last message is buffered and is not written until another message is issued.

### 1.2.4 Installing the Platform Receiver

1 Customize and run job PAXRST0A from SAMPLIB.

This job creates and populates the ASAM directory in the HFS.

**2** Add the JCL procedure for PLATRCVR to your started task procedure library (SYS1.PROCLIB or its equivalent).

Use member PLATRCVR in SAMPLIB as a model, and customize it to use your own data set names. If your Receiver scripts call programs or other scripts that write to other than standard TSO output, add the appropriate DD statements to your PLATRCVR procedure.

- **3** Verify that the PLATRCVR user ID is defined as a UNIX user. For more information, see the IBM *UNIX System Services Planning* book for your system.
- **4** Assign PLATRCVR the appropriate security system authority (such as RACF SPECIAL) to manage users and groups.
- **5** Set up the platform configuration file for PLATRCVR.

The PLATRCVR procedure contains ddname ASAMCONF, which must point to a sequential file containing the configuration statements. Use SAMPLIB member ASAMCONF as a model. Specify the *FilePath* value of the ASAMDIR statement to be the same as the value you used for the ASAM directory in the PAXRST0A job run in Step 1. For details about the platform configuration file, see the *Platform Services Planning Guide and Reference*.

6 Obtain a security certificate for the platform.

Run the Platform Receiver interactively with the -s parameter to obtain a security certificate. You can use the SETCERT script in the exec library to do this. Customize the script to set the ASAMLOAD and ASAMHOME variables to the names of your Platform Services Load Library and your ASAM directory respectively. If you are using a PDS member instead of an HFS file for your ASAMCONF file, set the PDS variable to TRUE and set the ASAMHOME variable to point to your ASAMCONF PDS member.

Respond to the prompts.

- Common name of the Platform object (specified in the Web interface when the object was created)
- Fully distinguished name and password of an eDirectory user with Read and Create object rights to the ASAM System container
- 7 Establish Include/Exclude lists for testing Identity Provisioning.

Before you run PLATRCVR to process provisioning events, you might want to establish an Include/Exclude list in ASAMCONF to restrict the users and groups that Identity Provisioning manages. Users and groups in the Exclude list are not managed by Identity Provisioning.

For details about using the Include/Exclude lists, see the *Platform Services Planning Guide and Reference*.

#### 1.2.5 Customizing the Receiver Scripts

Extend the base Receiver scripts in accordance with your installation plan. For more information, see "z/OS Scripts and Executables" on page 12.

### 1.2.6 Integrating Platform Services into Your Routine Operation

At this point, Platform Services is installed and running on one system. If you have multiple systems sharing a security system database, users on the systems that you have installed Platform Services on might be affected if they try to use other systems. In addition, if you disable the password syntax rules and history from one system, this affects all systems that share the same security system database. As a result, you might decide not to disable password restrictions until Platform Services is deployed on all systems in your complex.

Systematically introduce Platform Services into your routine production environment.

- **1** When you're satisfied that Platform Services is installed and running correctly, install Platform Services on all remaining systems in your complex.
- **2** Add ASCLIENT and PLATRCVR operation into routine system startup and shutdown scheduling procedures.

When Platform Services is fully deployed, ASCLIENT must be active on every z/OS image in the complex. PLATRCVR must be active on only one system in the complex that is sharing the security database.

Unlike ASCLIENT, whose outages should be kept to a minimum, PLATRCVR can be inactive for a reasonable period without adverse effects. When PLATRCVR is started, it receives backlogged provisioning events.

**3** After testing to your satisfaction, change the Include/Exclude lists to match your production environment.

## 1.3 Obtaining Platform Services for z/OS Files

**IMPORTANT:** Before you install Platform Services, check the Novell Support Web Site (http:// support.novell.com) for the latest support pack and product update information, and review the Release Notes and Readme files.

Installation packages are provided on the distribution media in the mvsplatformservices directory.

z/OS Platform Services is packaged as TRANSMIT unloaded z/OS PDSs.

- Samples Library: ASCSAMP.XMT
- Load Library: ASCLOAD.XMT
- RACF Receiver Scripts exec Library: RACFEXEC.XMT
- CA-ACF2 Receiver Scripts exec Library: ACF2EXEC.XMT
- CA-Top Secret Receiver Scripts exec Library: TSSEXEC.XMT

These files can be uploaded to your z/OS system from a PC or file server.

1 If you are using line-mode FTP, open a command prompt window, change to the mvsplatformservices directory, and use the following commands to transfer the files to z/OS. If you are using a graphical FTP client, use the equivalent commands.

**IMPORTANT:** The BINARY and the QUOTE SITE commands are required. If the .XMT files are not sent to z/OS correctly, the subsequent steps will fail.

**1a**FTP z/OS-hostname

- **1b** Authenticate to z/OS using your user ID and password.
- 1c QUOTE SITE LRECL=80 RECFM=FB
- **1d** If you need the files to be stored on a specific disk volume, enter QUOTE SITE VOL= volser
- 1e BINARY
- 1f PUT ASCSAMP.XMT
- 1g PUT ASCLOAD.XMT
- **1h** PUT RACFEXEC.XMT

```
or
PUT ACF2EXEC.XMT
or
PUT TSSEXEC.XMT
```

```
1i QUIT
```

- **2** Log on to z/OS using the same user ID that you used for the FTP session. The names of the files that you sent begin with your user ID unless you have changed your TSO profile prefix.
- **3** Enter RECEIVE INDATASET (ASCSAMP.XMT)
- **4** When RECEIVE prompts you for parameters, enter DSNAME ('hlq.platserv.samplib') VOLUME (volser) where

*hlq.platserv.samplib* is the SAMPLIB data set for Platform Services, and *volser* is the disk volume where the samples library is to be created.

- 5 Enter RECEIVE INDATASET (ASCLOAD.XMT)
- 6 When RECEIVE prompts you for parameters, enter DSNAME ('hlq.platserv.load') VOLUME (volser) where: hlq.platserv.load is the load library for Platform Services, and volser is the disk volume where the load library is to be created.
- 7 Enter the appropriate command to receive your security system scripts library.

```
RECEIVE INDATASET (RACFEXEC.XMT)
or
RECEIVE INDATASET (ACF2EXEC.XMT)
or
RECEIVE INDATASET (TSSEXEC.XMT)
```

8 When RECEIVE prompts you for parameters, enter

DSNAME ('hlq.platserv.execlib') VOLUME (volser) where: hlq.platserv.execlib is the Receiver script exec library for Platform Services, and volser is the disk volume where the Receiver script exec library is to be created.

## 1.4 The ASCTEST Command

The ASCTEST command allows you to check z/OS Platform Services Process functions from an z/ OS TSO session. SAMPLIB member ASCTEST can be used to run this command as a batch job.

You can use ASCTEST for

- Ensuring that the platform is configured correctly before installing security system exits
- Checking the current state of Platform Services
- Spot-checking Platform Services Process performance
- Troubleshooting the Platform Services Process

**NOTE:** If you set up test cases in eDirectory, keep in mind that if your replicas exist on multiple servers, it can take a few minutes for a change (resetting a password, disabling a user, etc.) to be propagated to the other replicas. This can cause such updates to appear to be delayed.

### 1.4.1 ASCTEST Requirements

ASCTEST is provided in the z/OS Platform Load Library as part of the Platform Services distribution. Two functions of ASCTEST require APF authorization: CHKPSWD and CHGPSWD. To APF-authorize ASCTEST, add ASCTEST as an authorized TSO command to SYS1.PARMLIB(IKJTSO *xx*) on the AUTHCMD NAMES(...) statement. For details, see Step 3 on page 13.

### 1.4.2 ASCTEST Functions

You can run any of these functions at any time. If the Platform Services Process is not running, you receive a message to that effect. The results of CHKPSWD and CHGPSWD requests appear in ASCLIENT's log as well.

#### Status

ASCTEST STATUS

STATUS returns information about an active Platform Services Process. STATUS does not call the Platform Services Process but finds its environment data and reports it.

#### **Exclude Test**

ASCTEST EXCTEST( userid)

EXCTEST checks a user ID against the active exclude list and reports whether the user ID would be excluded from Authentication Services or not. EXCTEST does not call the Platform Services Process, but finds the its exclude list and checks the specified user ID against it.

#### **Check Password**

ASCTEST CHKPSWD( userid/ password) [ LOOP( count) ]

CHKPSWD calls the Platform Services Process with a user ID and password to be validated and reports the results and the elapsed time of the request. To repeat a request, use the LOOP parameter.

**NOTE:** The core driver treats these requests as real authentications and acts accordingly. For example, a series of consecutive invalid passwords against one user ID can cause intruder detection to be tripped for that user.

#### **Change Password**

#### ASCTEST CHGPSWD( userid/ oldpswd/ newpswd)

CHGPSWD calls the Platform Services Process with a user ID, a current password, and a new password, then reports the results and elapsed time for the request. The password rules that apply to the user ID's corresponding User object are applied so that the new password is rejected if it does not satisfy the rules defined to eDirectory.

## 1.5 RACF Exit Installation

Topics in this section include

- Section 1.5.1, "About the RACF Exits," on page 19
- Section 1.5.2, "Installing the RACF Exits," on page 20
- Section 1.5.3, "Updating RACF Options," on page 20
- Section 1.5.4, "Uninstalling the RACF Exits," on page 21

### 1.5.1 About the RACF Exits

Platform Services for RACF uses two standard RACF exits: the RACINIT pre-process exit (ICHRIX01) and the RACF new password exit (ICHPWX01). They are provided in the Platform Services Load Library and are named ASCRIX01 and ASCPWX01 respectively.

These two exits are used to intercept all requests in which a user ID and password (and perhaps a new password) are supplied to RACF. Note, however, that these exits can only intercept password

check and change requests that are sent through a standard RACF interface. If you have applications that access the RACF database directly using the RACF internal macros (ICHEINTY, etc.), their requests are not intercepted by the driver.

Platform Services provides an exit router that calls multiple exit modules in sequence. You can use this router if your installation already uses either exit.

### 1.5.2 Installing the RACF Exits

These instructions assume that you have already installed the Platform Services Process, configured it, started it successfully, and tested it using ASCTEST.

Follow your normal procedure for applying such changes to your z/OS system. We recommend that you

- Install and test the exits on a test system or partition first.
- Make a copy of your system volumes before applying any changes.
- Consider packaging the exits as SMP/E usermods.

To install the RACF exits:

1 Install ICHRIX01, the RACINIT pre-process exit.

- If you do not have an existing ICHRIX01 exit, run the job in SAMPLIB member RACRIX0A. This job uses SMP/E to linkedit ASCRIX01 into SYS1.LPALIB as exit ICHRIX01.
- If you have an existing ICHRIX01 exit, update SAMPLIB member RACRIX0B as appropriate. RACRIX0B installs a router that calls the Platform Services RACINIT exit and your existing exit.
- 2 Install ICHPWX01, the new password exit.
  - If you do not have an existing ICHPWX01 exit, run the job in SAMPLIB member RACPWX0A. This job uses SMP/E to linkedit ASCPWX01 into SYS1.LPALIB as exit ICHPWX01.
  - If you have an existing ICHPWX01 exit, update SAMPLIB member RACPWX0B as appropriate. RACPWX0B installs a router that calls the Platform Services new password exit and your existing exit.
- **3** IPL the z/OS system with the CLPA option.

### 1.5.3 Updating RACF Options

When you have installed the exits into SYS1.LPALIB and IPLed your system, RACF calls the driver exits for every authentication request that provides a password. If ASCLIENT is not running, the message ASC0071I Userid *user* will be authenticated locally is issued to the z/OS console as a ROUTCDE=11 WTO. This is normal and will probably be a regular occurrence if logons occur early during an IPL before TCP/IP and ASCLIENT are up.

At this point, RACF users that have not been excluded are authenticating using Authentication Services. If you are phasing in the conversion to the driver, ensure that your RACF and eDirectory password rules (minimum length, etc.) are the same. Otherwise, users can find themselves in a situation where one product accepts the new password and the other doesn't. If the two sets of rules cannot be made the same, then make the RACF rules less restrictive than the eDirectory rules. This way, eDirectory rejects incorrect new passwords before RACF has an opportunity to.

After you have migrated most or all of your user base to the driver, turn off all RACF-related password rules, because they are enforced by the corresponding rules in eDirectory. A RACF SPECIAL user can use the RACF administrator panels to set the options listed in the table that follows, or enter the following command:

setropts password( nohistory interval(254) norevoke norules )

This command affects RACF as follows:

Table 1-4 RACF Options

Option	Description
NoHistory	RACF does not keep a list of previously used passwords for each user. eDirectory does this if configured to do so.
Interval(254)	This is the maximum interval for a RACF password's expiration interval. (RACF interprets 255 as nonexpiring.) Password expiration is controlled by eDirectory through the driver.
NoRevoke	RACF does not revoke a user ID for excessive invalid passwords. If intruder detection is enabled in eDirectory, eDirectory temporarily disables a user if the user enters too many consecutive invalid passwords.
NoRules	RACF does not apply any restrictions to the syntax of new passwords. The password restrictions defined in eDirectory are used instead.

Record the existing values before you change them, and save them in a safe place. You will want to refer to them if you should ever remove the driver.

### 1.5.4 Uninstalling the RACF Exits

- 1 Make a copy of your running system before applying any changes.
- **2** Use SMP/E to RESTORE the usermods for ICHRIX01 and ICHPWX01.
- **3** IPL the updated system specifying CLPA.
- **4** Using the RACF administrator panels or the SETROPTS command, reestablish the password rules that you disabled when you installed the RACF interface.

## 1.6 CA-ACF2 Exit Installation

Topics in this section include

- Section 1.6.1, "About the CA-ACF2 Exits," on page 22
- Section 1.6.2, "Installing the CA-ACF2 Exits," on page 22
- Section 1.6.3, "Updating CA-ACF2 Options," on page 23
- Section 1.6.4, "Uninstalling the CA-ACF2 Exits," on page 25

### 1.6.1 About the CA-ACF2 Exits

Platform Services for CA-ACF2 uses two standard ACF2 exits: the System Entry Validation exit (SEVPRE) and the New-Password exit (NEWPXIT). They are provided in the Platform Services Load Library and are named ASCSVPRE and ASCNPXIT respectively. These two exits are used to intercept all requests in which a user ID and password (and perhaps a new password) are supplied to ACF2. Note, however, that these exits can only intercept password check and change requests that are sent through a standard ACF2 interface. If you have applications that use internal ACF2 services, such as ACFSVC ACALT, their requests are not intercepted by the driver.

Platform Services provides an exit router that calls multiple exit modules in sequence. You can use this router if your installation already uses either exit.

### 1.6.2 Installing the CA-ACF2 Exits

These instructions assume that you have already installed the Platform Services Process, configured it, started it successfully, and tested it using ASCTEST.

Follow your normal procedure for applying system-level changes to your z/OS system. We recommend that you

- Install and test the exits on a test system or partition first.
- Make a copy of applicable libraries before applying any changes.
- Plan an uninstallation procedure. For guidelines, see "Uninstalling the CA-ACF2 Exits" on page 25.

ACF2 allows you to call the SEVPRE and NEWPXIT exits by any name. By default, the jobs in SAMPLIB name the exits ASCSVPRE and ASCNPXIT. If you need to name the exits something different, edit the JCL as appropriate. ACF2 requires that both of these exits reside in SYS1.LPALIB or another library in the LPA list.

If you have an existing ACF2 exit (SEVPRE or NEWPXIT) and don't have storage constraints in PLPA, consider leaving your existing exits in PLPA under a different name from the exit name used by Platform Services. This way, you can reinstall your old exit without an IPL.

To install the CA-ACF2 exits:

- **1** Install SEVPRE, the system entry validation exit.
  - If you do not have an existing SEVPRE exit, run the job in SAMPLIB member ACFSVP0A. This job linkedits ASCSVPRE into SYS1.LPALIB as exit ASCSVPRE. If you prefer not to use SYS1.LPALIB, change the SYSLMOD DD statement in ACFSVP0A to a different LPA list library.
  - If you have an existing SEVPRE exit, update SAMPLIB member ACFSVP0B as appropriate. ACFSVP0B linkedits the Platform Services SEVPRE exit and your existing exit with a router that can call multiple SEVPRE exits in succession. ACFSVP0B contains detailed instructions for setting this up.
- **2** Install NEWPXIT, the new password exit.
  - If you do not have an existing NEWPXIT exit, run the job in SAMPLIB member ACFNPX0A. This job linkedits ACFNPXIT into SYS1.LPALIB. If you prefer not to use SYS1.LPALIB, change the SYSLMOD DD statement in ACFNPX0A to a different LPA list library.

- If you have an existing NEWPXIT exit, update SAMPLIB member ACFNPX0B as appropriate. ACFNPX0B linkedits the Platform Services NEWPXIT exit and your existing exit with a router that can call multiple NEWPXIT exits in succession. ACFNPX0B contains detailed instructions for setting this up.
- **3** IPL the z/OS system with the CLPA option.

**NOTE:** You can package the ACF2 exits as SMP/E usermods. ACF2 installations customarily do not install ACF2 exits with SMP/E. Therefore, the ACF2 exits shipped with Platform Services are not set up for SMP/E. If you want to install the exits as SMP/E usermods, you can use the SAMPLIB members ASCRIX0A/B and ASCPWX0A/B, which install the RACF version of the exits, as models.

### 1.6.3 Updating CA-ACF2 Options

You will need to set CA-ACF2 to call the exits and then remove password rules.

#### Setting CA-ACF2 to Call the Exits

When you have linked the exits into an LPA library and IPLed your system with CLPA, set ACF2 to start calling the exits.

An ACF2 administrator must perform these steps.

1 If you do not already have a GSO EXITS record, use the ACF2 ISPF panels to add the exits or enter the following TSO commands:

```
READY
acf
ACF
set control(gso) sysid(<system>)
CONTROL
insert sysid(<system>) exits sevpre(ascsvpre) newpxit(ascnpxit)
```

Substitute your system ID for *<system>*. If you chose to use other names for these exits, substitute your names. If you only have one system using your ACF2 database, or you want to affect all systems at once, you can omit the sysid operand. However, if you have multiple ACF2 systems, we recommend that you convert them one at a time.

- 2 If you already have a GSO EXITS record, do the following:
  - **2a** From the main ACF2 panel, select the GSO option.
  - **2b** From the GSO panel, select CHANGE.
  - **2c** From the Change A GSO Record panel, enter a change type of ADD, the system ID you want the change to affect, and a RECID of EXITS.
  - **2d** Specify a value of ASCSVPRE for exit SEVPRE and a value of ASCNPXIT for exit NEWPXIT. If you chose to use other names for these exits, substitute your names here.
- **3** Install the new values.

From an z/OS console, enter <code>MODIFY ACF2</code> , <code>REFRESH</code>

When you do this, ACF2 begins calling the Platform Services SEVPRE and NEWPXIT exits. If ASCLIENT is not running, users attempting to log on are authenticated locally. When this happens, ASCSVPRE issues the message ASC00711 Userid *user* will be authenticated

locally to the z/OS console as a ROUTCDE=11 WTO. This is normal and will probably be a regular occurrence if logons occur early during an IPL before TCP/IP and ASCLIENT are up.

#### Removing CA-ACF2 Password Rules

At this point, ACF2 users that have not been excluded are using Authentication Services. Set the ACF2 password-related parameters to disable ACF2 password controls. The eDirectory parameters are used instead. For full descriptions of these parameters, see your *CA-ACF2 Administrator Guide*.

Record the existing values before you change them, and save them in a safe place. You will want to refer to them if you should ever remove the driver.

An ACF2 administrator must perform these steps.

1 If you do not already have a GSO EXITS record, use the ACF2 ISPF panels to set the values listed in the table that follows this procedure, or enter the following TSO commands:

```
READY
acf
ACF
set control(gso) sysid(<system>)
CONTROL
insert sysid(<system>) pswd <values>
```

Substitute the system ID for *<system>*. If you only have one system using your ACF2 database or you want to affect all systems at once, you can omit the sysid operand. However, if you have multiple ACF2 systems, we recommend that you convert them one at a time.

Substitute the values shown in the table that follows this procedure for *<values*>.

**2** If you already have a GSO EXITS record, use the ACF2 ISPF panels to change the pswd record, or enter the following TSO commands.

```
READY
acf
ACF
set control(gso) sysid(<system>)
CONTROL
change sysid(<system>) pswd <values>
```

Substitute the system ID for *<system>*. If you only have one system using your ACF2 database or you want to affect all systems at once, you can omit the sysid operand. However, if you have multiple ACF2 systems, we recommend that you convert them one at a time.

Substitute the values shown in the table that follows this procedure for *<values>*.

**3** Install the new rule values.

From an z/OS console, enter MODIFY ACF2, REFRESH

The following table describes the ACF2 password-related parameters:

Parameter	Description
MAXTRY (255)	The maximum number of password attempts before ACF2 suspends the user ID. The driver uses eDirectory intruder detection parameters instead.
MINPSWD(1)	The minimum length of an ACF2 password.
PASSLMT(255)	The maximum number of invalid password attempts allowed in one day.

Parameter	Description	
PSWDALT	Allow users to change their password at logon time.	
NOPWDHIST	Disable ACF2 password history.	
PSWDNUM	Allow a new password to be all numeric.	
WRNDAYS(0)	Warn the user when the password is about to expire. This is controlled by the ACF2.EXPIREWARN parameter in the ASCLIENT configuration file.	

## 1.6.4 Uninstalling the CA-ACF2 Exits

- **1** If you linked the Platform Services SEVPRE exit or NEWPXIT exit with exits of your own, reinstall your original exits, then IPL with CLPA to load the relinked exits into the active LPA. If you changed the exits' names as you relinked them, you must also update the GSO EXITS record with the changed exit names.
- **2** If you are using ASCSVPRE or ASCNPXIT by themselves, update the GSO EXITS record to remove the exit names.
  - **2a** Enter the following TSO commands:

```
READY
acf
ACF
set control(gso) sysid(<system>)
CONTROL
insert sysid(<system>) exits sevpre() newpxit()
```

**2b** Install the new values.

From an z/OS console, enter MODIFY ACF2, REFRESH

**3** Delete the exit modules from the LPA library containing them. Then IPL with CLPA at a convenient time.

## 1.7 CA-Top Secret Exit Installation

In addition to installing the CA-Top Secret Exit, you will need to review a checklist of considerations if you already use the PREINIT function.

## 1.7.1 Installing the CA-Top Secret Exit

These instructions assume that you have already installed the Platform Services Process, configured it, started it successfully, and tested it using ASCTEST.

Follow your normal procedure for applying system-level changes to your z/OS system. We recommend that you

- Install and test the exits on a test system or partition first.
- Make a copy of applicable libraries before applying any changes.
- Plan a back off procedure.

To install the CA-Top Secret exits:

- **1** Review the section pertaining to the use of the CA-Top Secret Installation Exit TSSINSTX in the *CA-Top Secret User Guide*.
- **2** Modify TSSINSTX to use the driver PREINIT function.
  - **2a** If you already use the PREINIT function, review "Considerations Checklist for Sites with a Pre-existing PREINIT Function" on page 26.
  - **2b** Change the ##MATRIX byte for PREINIT to a value of #####YES.
  - **2c** Insert the following instructions immediately after the PREINIT label:

LR	R1,R9	<am>  </am>	Copy parmlist ptr to R1
LR	R11,R13	<am>  </am>	Save TSS's savearea ptr
LA	R13,WORKAREA	<am>  </am>	Use WORKAREA as savearea
L	R15,=V(ASCTSSPI)	<am>  </am>	Get addr of AM preinit exit
BALR	R14,R15	<am>  </am>	Call it
LR	R13,R11	<am>  </am>	Restore TSS's savearea ptr
В	EXIT	<mm>  </mm>	Exit with exit's returncode

- **3** Place the modified TSSINSTX exit module in your TSS product library.
  - **3a** Customize and run the JCL provided in SAMPLIB member ASMINSTX.
  - **3b** If your TSS product library is in the linklist, refresh LLA with the following operator command: F LLA, REFRESH
- **4** Activate the modified TSSINSTX exit.
  - **4a** If TSSINSTX is already in use, issue the following operator command: F TSS, EXIT (OFF)
  - **4b** Issue the following operator command: F TSS, EXIT (ON)

# **1.7.2 Considerations Checklist for Sites with a Pre-existing PREINIT Function**

□ Evaluate your current PREINIT function for conflicts with the driver PREINIT function ASCTSSPI.

ASCTSSPI expects the following:

- Standard linkage, AMODE(31), RMODE(24) or RMODE(ANY).
- Key zero, supervisor state. TSSINSTX normally runs this way.
- R13 points to a save area, not necessarily with back links. (The area WORKAREA (CL100) in TSSINSTX is used by default.)
- R1 points to #INSTXPL.

ASCTSSPI sets the following return codes:

- 4 if the user ID does not exist in the Census and AS.USER.NONNDS is set to UNDEFINED
- 0 in all other cases

ASCTSSPI calls ASCLIENT if all of the following are true:

- CA-Top Secret is not dormant
- A password (and perhaps a new password) is provided on an authentication request
- ASCLIENT is running

- The user is not excluded
- □ If you already link other modules with TSSINSTX, update your TSSINSTX linkedit job.
- □ If your PREINIT function can make a final decision that a user is or is not allowed to enter the system, place the call to your function before the call to ASCTSSPI, and bypass the call to ASCTSSPI if a decision has been made.

# Configuring and Administering Platform Services

After you have installed Novell<sup>®</sup> Identity Manager Fan-Out driver Platform Services, use the information in this section to begin configuration and administration.

- Section 2.1, "Platform Certificate Management," on page 29
- Section 2.2, "DES Key Management," on page 29
- Section 2.3, "Password Replication for z/OS," on page 30
- Section 2.4, "Administering Platform Services for z/OS," on page 30

## 2.1 Platform Certificate Management

Connection between the z/OS Platform Receiver and Event Journal Services uses Secure Sockets Layer (SSL). SSL connections are authenticated through the use of certificates.

The certificates used by the Identity Manager Fan-Out driver are minted by the Certificate Services component of the core driver. When you install and configure Platform Services, you obtain a certificate.

To obtain a new certificate, run the Platform Receiver with the -s command line parameter.

Platform certificates are stored in the HFS asam/data/platformservices/certs directory. Ensure that access to the certs directory is limited to the appropriate users.

## 2.2 DES Key Management

The z/OS Platform Services Process uses DES encryption for communication with core drivers.

A given transaction between the Platform Services Process and a core driver is encrypted using a single use session key. The key is assigned by the core driver and consists of a pseudo-random number encrypted with the key of the platform.

Core drivers obtain the DES key for a given platform from its Platform object in the ASAM System container. You use the Web interface to enter this information. For details about using the Web interface to set the attributes of a Platform object, see the *Core Driver Administration Guide*.

The Platform Services Process obtains the DES key from the platform configuration file KEY statement. For information about the platform configuration file, see the *Platform Services Planning Guide and Reference*.

If the DES key used by a core driver for a given platform and the DES key used by the platform are not identical, communication attempts fail.

So that you can change the DES key for a platform, core drivers can use the previous key within a time interval that you specify. Core drivers always try the current key first. If that attempt is unsuccessful and the time interval has not expired, the core driver tries the previous key.

To change the DES key for a platform:

- **1** Use the Web interface to specify the new key for the platform. Specify a sufficient time before the old key expires for you to change the key on the platform. For details about how to specify the encryption key that core drivers use for a given platform, see the *Core Driver Administration Guide*.
- **2** Update the KEY statement in the platform configuration file of the platform with the new key value. For details about the platform configuration file, see the *Platform Services Planning Guide and Reference*.

## 2.3 Password Replication for z/OS

If a password check or change operation is successful, the Platform Services process for z/OS updates the contents of the native security system database to reflect the validated or new password. This allows the user to log on using the last password that worked on an z/OS system if the driver, eDirectory<sup>™</sup>, or the network is not available.

The z/OS Platform Receiver updates passwords in the security system database when password synchronization information is received from a core driver for password change events in eDirectory. To configure core drivers to send password synchronization information to the z/OS platform, use the Web interface to set Permit Password Replication to Yes or If Available for the Platform object. For details about using the Web interface, see the *Core Driver Administration Guide*.

Both the Authentication Services Include/Exclude list and the Identity Provisioning Include/Exclude list affect aspects of password replication on z/OS. Consider the need for symmetry between the two Include/Exclude lists if you use password replication.

## 2.4 Administering Platform Services for z/OS

- Section 2.4.1, "Managing the z/OS Platform Services Process," on page 30
- Section 2.4.2, "Managing the z/OS Platform Receiver," on page 32

### 2.4.1 Managing the z/OS Platform Services Process

In operating Platform Services, you need to be familiar with available JCL Exec statement PARMS, the platform configuration file, and the platform SMF file.

#### **ASCLIENT** Operation

Start the z/OS Platform Services Process (ASCLIENT) during system IPL. When message ASC00011 is issued, ASCLIENT is ready to process requests and commands. Requests are handled by a subtask. ASCLIENT creates additional subtasks if needed to handle concurrent requests.

ASCLIENT requires TCP/IP to communicate with the core drivers. Start ASCLIENT after TCP/IP has been started and before user processing begins.

The z/OS Platform Services Process supports several commands to control its operation. Enter these at an z/OS Operator Console. (If you have named your Platform Services Process something other than ASCLIENT, substitute your procedure name as appropriate.)

To start ASCLIENT, enter START ASCLIENT

To shut down ASCLIENT, enter STOP ASCLIENT

ASCLIENT responds to several z/OS MODIFY commands.

MODIFY ASCLIENT, AUTH LOCAL

Instructs ASCLIENT to reject new authentication requests. This causes all subsequent requests to be authenticated locally against the z/OS system's security product.

MODIFY ASCLIENT, AUTH REMOTE

Instructs ASCLIENT to accept new authentication requests. This causes new authentication requests to be processed by the driver. When ASCLIENT starts, all requests are handled remotely by default. When ASCLIENT is shut down or terminated abnormally, new requests are handled locally.

MODIFY ASCLIENT, CONFIG xx

ASCLIENT loads the platform configuration file contained in the ASCPARMS data set in member ASCPRM *xx*. If member ASCPRM *xx* does not exist or contains a syntax error, a message is issued to the console and the existing configuration is not changed.

For example, to cause member ASCPRM02 to become the active configuration, enter the following command at the z/OS operator's console: MODIFY ASCLIENT, CONFIG 02

MODIFY ASCLIENT, DISPLAY

ASCLIENT displays the status of its current configuration. This information includes

- When ASCLIENT was started
- When the present configuration was loaded
- How many times each core driver was called to satisfy a request

The DISPLAY command can be abbreviated as D.

MODIFY ASCLIENT, LOGSWITCH

If ddname ASCLOG was dynamically allocated, ASCLIENT closes, frees, reallocates, and reopens ASCLOG. The previous log file is available for processing. If ddname ASCLOG was not dynamically allocated, an error message is issued to the console.

#### **ASCLIENT JCL EXEC Statement PARMs**

ASCLIENT accepts several JCL EXEC statement PARMs. Under normal circumstances, you do not need to specify any execution parameters.

PARM	Explanation
TASKS= nn	How many service subtasks to start initially. <i>nn</i> must be numeric, one or two digits, from 0 to 99. The default is 1. ASCLIENT automatically starts additional tasks if it needs them.
COLD	Ignore any existing in-storage request queue and build a new one.
WARM	Use the existing in-storage request queue if there is one. WARM is mutually exclusive with COLD and is the default.
CONFIG= xx	Suffix for ASCPRM <i>xx</i> configuration member to use. The default is 00 (the digits "zero zero").

 Table 2-1
 ASCLIENT JCL EXEC Statement PARMs

Example:

//ASCLIENT EXEC PGM=ASCMAIN, PARM='TASKS=1, CONFIG=01'

This causes ASCLIENT to start with one service subtask and read configuration member ASCPRM01.

#### The z/OS Platform Configuration File

Member ASCPRM *xx* defines ASCLIENT's configuration. This member must be unnumbered and must reside in an LRECL=80 RECFM=FB partitioned data set allocated to ddname ASCPARMS in the ASCLIENT JCL. SAMPLIB can be used if desired.

For details about the platform configuration file, see the *Platform Services Planning Guide and Reference*.

ASCLIENT uses member ASCPRM00 by default and can reload the configuration dynamically. For further information, see "ASCLIENT Operation" on page 30.

A model for the z/OS Platform Services Process platform configuration file is provided in SAMPLIB member ASCPRMXX.

#### The z/OS Platform SMF Record

The SMF record, written hourly and at shutdown by the z/OS Platform Services Process, contains information detailing counts and response time for activity. This information is also written in report form to ddname SYSMAIN of ASCLIENT.

The SMF record number used is specified by the SMF statement in the platform configuration file. For details about the platform configuration file, see the *Platform Services Planning Guide and Reference*.

An assembler DSECT mapping the SMF record and a SAS\* example for using it are contained in SAMPLIB members ASCSMF and SASSMF respectively.

#### 2.4.2 Managing the z/OS Platform Receiver

In operating the Platform Receiver, you need to be familiar with available JCL Exec statement PARMS, and the platform configuration file.

#### **PLATRCVR** Operation

Schedule the z/OS Platform Receiver (PLATRCVR) as appropriate for the mode of operation that you have chosen for it. If you are using Persistent Mode or Polling Mode, start the Platform Receiver during system startup and stop it during system shutdown. If you are using Scheduled Mode, use your job scheduling system to run the Platform Receiver on a schedule that is appropriate for you. For information about choosing a mode of operation, see the *Platform Services Planning Guide and Reference*.

PLATRCVR must be run with the security system authority necessary to manage users and groups.

PLATRCVR requires TCP/IP to communicate with the core driver.

To start PLATRCVR, enter the following command at an z/OS Operator Console: START PLATRCVR

To stop PLATRCVR, enter the following command at an z/OS Operator Console: STOP  ${\tt PLATRCVR}$ 

#### PLATRCVR JCL EXEC Statement PARMs

PLATRCVR accepts several JCL EXEC statement PARMs.

PARM	Explanation	
-a filespec	The location of the platform configuration file. The platform configuration file can be an HFS file or an z/OS sequential data set or partitioned data set member.	
	<b>HFS:</b> <i>filespec</i> is an absolute pathname as shown in the following example: -a/usr/ local/ASAM/data/asamplat.conf	
	<b>z/OS Data Set:</b> <i>filespec</i> can reference either a data set name or a ddname as shown in the following examples: -a//dsn:ASAM.CONFIG(CONFIG00) -a//ddn:ASAMCONF	
	If the -a option is not specified, the default is to use ddname ASAMCONF.	
-i	The Platform Receiver uses Polling Mode.	
-C	The Platform Receiver uses Check Mode.	
-р	The Platform Receiver uses Persistent Mode.	
-f	The Platform Receiver uses Full Sync Mode.	
-r	The Platform Receiver uses Scheduled Mode.	
-S	Obtain a security certificate for the Platform and end.	
	This is needed only during the initial configuration process.	

The following options determine the mode of operation for the Platform Receiver.: -i, -c, -p, -f, and -r. They are mutually exclusive. If none of them is present, the mode of operation specified by the RUNMODE statement in the platform configuration file is used. If there is no RUNMODE statement, the Platform Receiver uses Persistent Mode.

For details about the Platform Receiver modes of operation, see the *Platform Services Planning Guide and Reference*.

Example:

//PLATRCVR EXEC PGM=PLATRCVR,PARM='-a/usr/local/ASAM/data/
asamplat.conf -p'

This causes PLATRCVR to run in Persistent Mode and read configuration information from /usr/ local/ASAM/data/asamplat.conf in HFS.

#### The z/OS Platform Configuration File

To access the platform configuration file, PLATRCVR uses the file allocated to ddname ASAMCONF by default. A model for the z/OS Platform Receiver platform configuration file is provided in SAMPLIB member ASAMCONF.

For more information about the platform configuration file, see the *Platform Services Planning Guide and Reference*.

# Troubleshooting Platform Services

Novell<sup>®</sup> Identity Manager Fan-Out driver components record messages to their Audit Log, Operational Log, and their host system log. Examining these should be foremost in your troubleshooting efforts.

The Audit and Operational logs of core driver components are maintained in their logs directory.

The z/OS Platform Services Process (ASCLIENT) and Platform Receiver (PLATRCVR) write log messages to the ASCLOG DD statement.

By its very nature, the Identity Manager Fan-Out driver is highly dependent upon the proper operation of your network and eDirectory<sup>™</sup>. If you are having problems with the driver, ensure that the various driver components are able to communicate with one another and that eDirectory is functioning properly.

For information pertaining to Identity Manager Fan-Out driver performance issues, see the planning section in the *Core Driver Administration Guide*.

**IMPORTANT:** Make sure you upgrade the driver, including all of your platforms, when new versions or support packs become available.

## 3.1 Obtaining Debugging Output

Identity Manager Fan-Out driver components support the option to produce extensive debugging output. Although this output is intended primarily for use by Novell Technical Support, you might find it useful for your own troubleshooting efforts.

Because debugging mode adversely affects performance, it should not be used for routine operations.

## 3.1.1 z/OS Platform Services Process

To start debugging output from ASCLIENT, enter the following:

MODIFY ASCLIENT, TRACE ON

To stop debugging output, enter the following:

```
MODIFY ASCLIENT, TRACE OFF
```

You can also start ASCLIENT with PARM='TRACE' to obtain debugging output. ASCLIENT debugging output is written to ddname ASCLOG.

## 3.1.2 z/OS Platform Receiver

To produce debugging output from the z/OS Platform Receiver, start PLATRCVR with PARM='d\*'. PLATRCVR debugging output is written to ddname SYSDEBUG.

## 3.2 Troubleshooting Authentication Services

If a user cannot authenticate through the driver but can log in through eDirectory, ensure that the user is present in the Census and is not marked as being inactive. If the user is not present and active in the Census, review your Census Search object specifications.

You can use the ASCTEST utility to check on the health of z/OS Platform Services functions. For more information about ASCTEST, see Section 1.4, "The ASCTEST Command," on page 18.

z/OS enforces restrictive rules on the character set that can be used for user IDs and passwords. It requires that user IDs not exceed seven characters and that passwords not exceed eight characters. Each must consist of

- The letters A-Z
- The numerals 0-9
- The national characters @ # \$

User IDs must not begin with a numeral. Users whose IDs and passwords do not adhere to these rules are not able to use z/OS. Ensure that your IBM TCP/IP implementation for z/OS is at the latest maintenance level.

## 3.3 Troubleshooting Identity Provisioning

z/OS enforces restrictive rules on the character set that can be used for user IDs and Group names. It requires that user IDs not exceed seven characters and that Group names not exceed eight characters. Each must consist of

- The letters A-Z
- The numerals 0-9
- The national characters @ # \$

User IDs and Group names must not begin with a numeral.

## 3.4 Troubleshooting Network Issues

Although the details of network troubleshooting are beyond the scope of this document and depend on a number of factors particular to your environment, the purpose of this section is to determine if the various Identity Manager Fan-Out driver components can communicate with one another.

To verify IP connections between driver platforms and core drivers using the ping command:

- **1** From a command prompt on z/OS, OS/400\*, UNIX, or Windows\*, enter ping *ipaddr*, where *ipaddr* is the IP address of the remote computer.
- 2 From a NetWare<sup>®</sup> console, enter LOAD TPING *ipaddr*, where *ipaddr* is the IP address of the remote computer.

If your installation uses router filters to prevent the use of ping, consult with those responsible for managing your network for information on how to verify connectivity.

You can use other NetWare utilities, such as MONITOR, CONFIG, INETCFG, and TCPCON to examine and change other aspects of server status that pertain to networking. Refer to your NetWare documentation for further details. The *Utilities Reference*, *Basic Protocol Configuration Guide*, and

Advanced Protocol Configuration and Management Guide provide detailed information on using these and other NetWare utilities.

# **Password Migration Component**

If user accounts that you want to use in the Novell<sup>®</sup> Identity Manager Fan-Out driver do not exist in eDirectory<sup>™</sup>, you can import them from the system where they are currently located. You can use tools such as UIMPORT or LDIF to accomplish this.

If you cannot extract the passwords of the affected user accounts, you must either give each of these users a new password or find some way to synchronize the passwords of the new user accounts in eDirectory with the passwords of their pre-existing accounts.

If these pre-existing accounts are located on an z/OS system, you can use the Password Migration component to accomplish a smooth migration to eDirectory-based user accounts. The Password Migration component updates a migration user's password in eDirectory from the z/OS system when a user logs on.

Deploy the driver on only that z/OS system until the migration period is complete. Then you can add other platforms.

The migration requires a cooperative effort between the z/OS system administrator and the driver administrator. For information related to the steps in the following procedure that involve the core driver, see the *Core Driver Administration Guide*.

## A.1 Migration Procedure

- **1** Install the core driver.
- 2 Create an extract file with the user names and attributes from their present location.
- **3** Agree upon a special Migration Mode Password.

You will use this password in Step 4 and Step 7. This password must conform to the password limitations of z/OS.

4 Prepare the extract file as appropriate for use with the utility you will use in Step 5.

If desired, remove users who already exist in eDirectory. Set the initial password of all of the users to the special Migration Mode Password determined in Step 3. Set the Login Disabled attribute of all of the user accounts to True. This places the users into the migration state.

- **5** Use a utility, such as UIMPORT or LDIF, to import the user accounts and attributes into eDirectory.
- 6 Use the Web interface to define a Platform object for the z/OS system.
- 7 Specify the Migration Mode Password configuration parameter with the special Migration Mode Password determined in Step 3, for each core driver.

For details about the Migration Mode Password configuration parameter, see Section A.2, "Migration Mode Password Configuration Parameter," on page 40.

8 Install Platform Services on the z/OS system, and activate the Platform Services Process.

The next time a user successfully authenticates to the z/OS system, the password is updated in the corresponding User object in eDirectory.

The password in eDirectory is only updated for users in the migration state. A user is in the migration state if the account is disabled and the password is set to the special Migration Mode

Password. After the password in eDirectory has been updated, the user is no longer in the migration state.

- **9** After a suitable period of time, remove the Migration Mode Password configuration parameter from all of the core drivers.
- **10** Enable those users who are still in the migration state.
- **11** Deploy the Identity Manager Fan-Out driver to other platforms as appropriate.

**IMPORTANT:** If there are multiple z/OS images that share the same RACF database or synchronize passwords using RRSF, you must perform Step 6 and Step 8 for each image.

A RACF administrator can use the search command as shown in the following example to gauge the progress of the migration. Specify age as the number of days since migration began. This produces a list of users who have not logged on since the migration period began.

search class(user) age(45)

When an z/OS user in the migration state logs on, eDirectory imposes a three-second delay. If these logons occur at a significant rate in an address space that single-threads logon activity, backlogs can develop. In this case, you can use the Include/Exclude facility to limit the number of users involved in migration at any one time.

## A.2 Migration Mode Password Configuration Parameter

The Migration Mode Password configuration parameter is used to run core drivers in Migration Mode. For details about driver configuration parameters, see the *Core Driver Administration Guide*.

In Migration Mode, a core driver performs special processing for User objects in the migration state. User objects are in the migration state if they are disabled and their password is set to the value specified in the Migration Mode Password configuration parameter.